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# **Revision History**

Revision Date	Version Number	Description	Author(s)
05/08/2017	1.0	Initial release of Codebook for PTD Plan Characteristics	Kathy Schneider,
		files.	Chris Alleman
12/13/2017	1.1	Updates to incorporate Part D Plan standard/preferred	Kathy Schneider,
		pharmacy network descriptions	Chris Alleman
01/29/2018	1.2	Updates to incorporate Plan and Contract IDs for 2016.	Kathy Schneider,
			Chris Alleman

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#### **BELOW\_BENCHMARK**

- **LABEL:** Part D Plan Offered Below Benchmark
- **DESCRIPTION:** Indicates whether the plan benefit package is a stand-alone Prescription Drug Plan (PDP) that offers a basic benefit with a premium below the regional benchmark or de minimis amount. A beneficiary with a full (100%) low-income premium subsidy (LIPS) would pay no premium for this plan.
- SHORT NAME: -
- LONG NAME: BELOW\_BENCHMARK
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: D = Below De minimis Amount (note starting in 2011, this value is retired and is combined with "B")
  - B = Below Regional Benchmark (2011 forward this may also mean below de minimis amount)
  - N = No
  - 9 = Not Applicable
- **COMMENT:** The Part D premium benchmarks vary by region. The de minimis amount is \$2.00.

See the DEMINIMIS\_PD\_FLAG variable in the data dictionary for the Plan Base File (2011 forward, only).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

Provisions in the Patient Protection and Affordable Care Act of 2010 (ACA) changed the way benchmarks are calculated to improve continuity of Part D plan enrollment for LIS beneficiaries. Refer to the CMS Medicare Prescription Drug Benefit Manual for additional details (see, for example: <u>http://www.cms.gov/Medicare/Prescription-Drug-Coverage/PrescriptionDrugCovContra/PartDManuals.html</u>).

### CONTRACT\_ID

- LABEL: Part D Contract Identifier
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS.

This field is a key that links of Part D sponsor's contract and plan identifiers.

- SHORT NAME: -
- LONG NAME: CONTRACT\_ID
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID) in order to identify the specific plan benefit package offered to beneficiaries.

This variable corresponds with the CONTRACT\_NAME variable, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_06 (previous year)

- LABEL: Part D Contract Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2006. It may or may not be the same as the Contract ID in the reference year (2007).

This field is a key that links of Part D sponsor's contract and plan identifiers.

SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_06
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_06) to the CONTRACT\_ID\_07 (and PLAN\_ID\_07).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_07 (previous year)

- LABEL: Part D Contract Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2007. It may or may not be the same as the Contract ID in the reference year (2008).

This field is a key that links of Part D sponsor's contract and plan identifiers.

SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_07
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_07) to the CONTRACT\_ID\_08 (and PLAN\_ID\_08).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_07 (reference year)

- **LABEL:** Part D Contract Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2007 (the reference year). It may or may not be the same as the Contract ID in the previous year (2006).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_07
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2007 appear in this data file. To determine whether the Contract and Plan IDs in the data file represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_07) to the CONTRACT\_ID\_06 (and PLAN\_ID\_06).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_08 (previous year)

- LABEL: Part D Contract Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2008. It may or may not be the same as the Contract ID in the reference year (2009).

This field is a key that links of Part D sponsor's contract and plan identifiers.

SHORT NAME: -

- LONG NAME: CONTRACT\_ID\_08
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_08) to the CONTRACT\_ID\_09 (and PLAN\_ID\_09).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_08 (reference year)

- **LABEL:** Part D Contract Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2008 (the reference year). It may or may not be the same as the Contract ID in the previous year (2007).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- LONG NAME: CONTRACT\_ID\_08
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2008 appear in this data file. To determine whether the Contract and Plan IDs in the data file represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_08) to the CONTRACT\_ID\_07 (and PLAN\_ID\_07).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_09 (previous year)

- LABEL: Part D Contract Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2009. It may or may not be the same as the Contract ID in the reference year (2010).

This field is a key that links of Part D sponsor's contract and plan identifiers.

SHORT NAME: -

- LONG NAME: CONTRACT\_ID\_09
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_09) to the CONTRACT\_ID\_10 (and PLAN\_ID\_10).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_09 (reference year)

- LABEL: Part D Contract Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2009 (the reference year). It may or may not be the same as the Contract ID in the previous year (2008).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_09
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2009 appear in this data file. To determine whether the Contract and Plan IDs in the data file represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_09) to the CONTRACT\_ID\_08 (and PLAN\_ID\_08).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_10 (previous year)

- LABEL: Part D Contract Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2010. It may or may not be the same as the Contract ID in the reference year (2011).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_10
- TYPE: CHAR
- LENGTH: 5
- SOURCE: CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding PLAN ID 10) to the CONTRACT ID 11 (and PLAN ID 11).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_10 (reference year)

- **LABEL:** Part D Contract Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2010 (the reference year). It may or may not be the same as the Contract ID in the previous year (2009).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_10
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2010 appear in this data file. To determine whether the Contract and Plan IDs in the data file represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_10) to the CONTRACT\_ID\_09 (and PLAN\_ID\_09).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

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# CONTRACT\_ID\_11 (previous year)

- LABEL: Part D Contract Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2011. It may or may not be the same as the Contract ID in the reference year (2012).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_11
- TYPE: CHAR
- LENGTH: 5
- SOURCE: CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding PLAN ID 11) to the CONTRACT ID 12 (and PLAN ID 12).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_11 (reference year)

- LABEL: Part D Contract Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2011 (the reference year). It may or may not be the same as the Contract ID in the previous year (2010).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_11
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2011 appear in this data file. To determine whether the Contract and Plan IDs in the data file represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_11) to the CONTRACT\_ID\_10 (and PLAN\_ID\_10).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

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# CONTRACT\_ID\_12 (previous year)

- LABEL: Part D Contract Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2012. It may or may not be the same as the Contract ID in the reference year (2013).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_12
- TYPE: CHAR
- LENGTH: 5
- SOURCE: CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding PLAN ID 12) to the CONTRACT ID 13 (and PLAN ID 13).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_12 (reference year)

- **LABEL:** Part D Contract Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2012 (the reference year). It may or may not be the same as the Contract ID in the previous year (2011).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_12
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2012 appear in this data file. To determine whether the Contract and Plan IDs in the data file represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_12) to the CONTRACT\_ID\_11 (and PLAN\_ID\_11).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_13 (previous year)

- LABEL: Part D Contract Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2013. It may or may not be the same as the Contract ID in the reference year (2014).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_13
- TYPE: CHAR
- LENGTH: 5
- SOURCE: CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding PLAN ID 13) to the CONTRACT ID 14 (and PLAN ID 14).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_13 (reference year)

- **LABEL:** Part D Contract Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2013 (the reference year). It may or may not be the same as the Contract ID in the previous year (2012).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_13
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2013 appear in this data file. To determine whether the Contract and Plan IDs in the data file represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_13) to the CONTRACT\_ID\_12 (and PLAN\_ID\_12).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

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# CONTRACT\_ID\_14 (previous year)

- LABEL: Part D Contract Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2014. It may or may not be the same as the Contract ID in the reference year (2015).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_14
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_14) to the CONTRACT\_ID\_15 (and PLAN\_ID\_15).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_14 (reference year)

- **LABEL:** Part D Contract Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2014 (the reference year). It may or may not be the same as the Contract ID in the previous year (2013).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_14
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2014 appear in this data file. To determine whether the Contract and Plan IDs in the data file represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_14) to the CONTRACT\_ID\_13 (and PLAN\_ID\_13).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_15 (previous year)

- LABEL: Part D Contract Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2015. It may or may not be the same as the Contract ID in the reference year (2016).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_15
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_15) to the CONTRACT\_ID\_16 (and PLAN\_ID\_16).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_15 (reference year)

- LABEL: Part D Contract Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2015 (the reference year). It may or may not be the same as the Contract ID in the previous year (2014).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_15
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2015 appear in this data file. To determine whether the Contract and Plan IDs in the data file represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_15) to the CONTRACT\_ID\_14 (and PLAN\_ID\_14).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# CONTRACT\_ID\_16 (reference year)

- LABEL: Part D Contract Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique number CMS assigns to each contract that a Part D plan has with CMS. This was the Contract ID for the plan in 2016 (the reference year). It may or may not be the same as the Contract ID in the previous year (2015).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- **LONG NAME:** CONTRACT\_ID\_16
- TYPE: CHAR
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)
- VALUES: 5-digit alpha/numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2016 appear in this data file. To determine whether the Contract and Plan IDs in the data file represent the same plan in the prior year, compare this variable (along with the corresponding PLAN\_ID\_16) to the CONTRACT\_ID\_15 (and PLAN\_ID\_15).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variable called PLAN\_ID\_YY) in order to identify the specific plan benefit package offered to beneficiaries for the particular year (YY).

This variable corresponds with the CONTRACT\_NAME variable in the Plan Base File for the year, which is the contract name that corresponds with this number.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### CONTRACT\_NAME

LABEL: Part D Contract Name

**DESCRIPTION:** This variable is the name of the Part D plan sponsor's contract with CMS.

SHORT NAME: -

- LONG NAME: CONTRACT\_NAME
- TYPE: CHAR
- **LENGTH:** 150
- **SOURCE:** CMS (HPMS Files)
- VALUES: text description
- **COMMENT:** Prior to the 2013 data release, CCW was required to encrypt or suppress sensitive data fields, including those which could be used to identify the plan sponsor's contract and plan. Therefore this variable does not appear in legacy data files.

This is the name associated with the Part D contract number (CONTRACT\_ID).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### COUNTY\_NAME

LABEL: Part D Segment County Name

**DESCRIPTION:** Name of county in which the Part D plan benefit package (segment) provides coverage.

SHORT NAME: -

LONG NAME: COUNTY\_NAME

TYPE: CHAR

LENGTH: 30

**SOURCE:** CMS (HPMS Files)

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VALUES:

**COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# **COV\_CRITERIA**

- LABEL: Part D Coverage Criteria Indicator of Waiver of Part A Requirement
- **DESCRIPTION:** This variable indicates whether the requirement that Part D beneficiaries be entitled to Medicare Part A is waived.

The value will indicate whether beneficiaries with only Part B entitlement may enroll in the Part D plan benefit package.

#### SHORT NAME: -

- LONG NAME: COV\_CRITERIA
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: 1 = Part A/B (no waiver of Part A requirement) 2 = Part B Only 9 = Not Applicable
- **COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### DED\_AMT

LABEL: Part D D	eductible Amount
-----------------	------------------

**DESCRIPTION:** This variable is the dollar amount of Part D deductible charged by the plan.

SHORT NAME: -

- LONG NAME: DED\_AMT
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

VALUES:

**COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### DED\_APPLY

LABEL:	How Part D Deductible is Applied
DESCRIPTION:	This variable indicates whether the plan charges the Medicare-defined Part D deductible amount.
SHORT NAME:	-
LONG NAME:	DED_APPLY
TYPE:	CHAR
LENGTH:	1
SOURCE:	CMS (HPMS Files)
VALUES:	1 = Medicare-Defined Amount 2 = Plan-Defined Amount 3 = No Deductible
COMMENT:	The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).
	Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### DED\_APPLY\_ALL

- **LABEL:** Type of Cost Sharing in the Deductible Phase
- **DESCRIPTION:** This variable indicates whether there is cost sharing on any tiers in the deductible phase and, if so, whether the part D plan uses the same cost sharing in the deductible phase as in the Pre-ICL phase or if some other unspecified cost sharing is applied.
- SHORT NAME: -
- LONG NAME: DED\_APPLY\_ALL
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- **VALUES:** The actual values were different over time.

For 2007 - 2009 the values were: 1 = Deductible applies to all drugs 2 = Deductible does not apply to generic drugs 9 = Not Applicable

Starting in 2010, the values were:

1 = No Cost Sharing

2 = Pre ICL Cost Sharing Applies to Selected Tiers

- 3 = Other Type of Cost Sharing Applies to Selected Tiers
- 9 = Not Applicable
- **COMMENT:** This variable was new in 2007.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# DED\_COINS

- LABEL: Part D Deductible Coinsurance Percentage
- **DESCRIPTION:** This variable is the coinsurance percentage beneficiaries are charged by for enhanced alternative plans that offer cost sharing during the deductible phase.

SHORT NAME: -

- LONG NAME: DED\_COINS
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2010.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# DED\_COPAY

LABEL: Part D Deductible Co-pay Amount

**DESCRIPTION:** This variable is the dollar amount of Part D beneficiary co-payment charged by enhanced alternative plans that offer cost sharing during the deductible phase.

SHORT NAME: -

- LONG NAME: DED\_COPAY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2010.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# DED\_COSTSHARE\_TIERS

- **LABEL:** Tiers with Cost Sharing in the Deductible Phase
- **DESCRIPTION:** This variable identifies which formulary tiers require beneficiary cost sharing during the deductible phase.

The value contains a string of binary digits; each digit of the value indicates which tiers are on the formulary.

#### SHORT NAME: -

- LONG NAME: DED\_COSTSHARE\_TIERS
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string, where each digit is a 1 or 0, to accommodate each tier. Each position of the character string represents a tier. For example if the value=100001 (1s in the 1st and 6th digit), then cost-sharing applies to the 5th and 6th tiers of the formulary.

1 in 2nd digit = Tier 1 1 in 3rd digit = Tier 2 1 in 4th digit = Tier 3 1 in 5th digit = Tier 4 1 in 6th digit = Tier 5 1 in 1st digit = Tier 6

In 2010, the variable was a 10 character string with the digits that corresponded to tiers as follows:

1 in 2nd digit = Tier 1 1 in 3rd digit = Tier 2 1 in 4th digit = Tier 3 1 in 5th digit = Tier 4 1 in 6th digit = Tier 5 1 in 7 th digit = Tier 6 1 in 8th digit = Tier 7 1 in 9th digit = Tier 8 1 in 10th digit = Tier 9 1 in 1st digit = Tier 10

**COMMENT:** This variable was new in 2010; it changed from 10 characters to 6 in 2011.

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# DED\_GENERIC\_COPAY

LABEL: Part D Co-Payment Amount for Generic Drugs in Deductible

**DESCRIPTION:** This variable is the dollar amount of Part D beneficiary co-payment charged for generic drugs in the deductible phase by the plan.

- SHORT NAME: -
- LONG NAME: DED\_GENERIC\_COPAY
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009. The variable is only available from 2007 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# DEMINIMIS\_PD\_FLAG

### LABEL: Deminimis Paid Flag

**DESCRIPTION:** If beneficiaries who are eligible for Medicare and Medicaid (often called full benefit dual eligibles) enroll in Part D plans with premiums higher than the regional benchmark, they are responsible for paying the premium amount above the benchmark. The benchmark is a statutorily defined amount that is based on the average premium amounts for Part D plans for each region (varies by year).

This variable indicates whether the Part D sponsor has voluntarily waived the portion of the monthly adjusted basic beneficiary premium that is a de minimis amount above the low-income subsidy (LIS) premium benchmark for subsidy-eligible individuals.

LIS individuals who enroll in plans that waive the de minimis premium amount are charged a monthly beneficiary premium for basic prescription drug coverage rather than for the higher de minimis amount (i.e., full benefit dual eligible beneficiaries have a full premium subsidy and would essentially have \$0 premium payment).

#### SHORT NAME: -

- LONG NAME: DEMINIMIS\_PD\_FLAG
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable is new in 2011.

The Part D premium benchmarks vary by region. The de minimis amount is \$2.00.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Provisions in the Patient Protection and Affordable Care Act of 2010 (ACA) changed the way benchmarks are calculated to improve continuity of Part D plan enrollment for LIS beneficiaries. Refer to the CMS Medicare Prescription Drug Benefit Manual for additional details (see, for example: <u>http://www.cms.gov/Medicare/Prescription-Drug-Coverage/PrescriptionDrugCovContra/PartDManuals.html</u>)

### DEMO\_TYPE

LABEL: Part D Demonstration Type

**DESCRIPTION:** This variable describes the type of Part D demonstration organization for applicable contracts.

- SHORT NAME: -
- LONG NAME: DEMO TYPE
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Different CMS demonstrations were in effect at different times; the actual values will vary by year.
  - 0 = Non-Demonstration
  - 1 = Continuing Care Retirement Community (CCRC) Demo
  - 2 = ESRDII Demo
  - 3 = MA Health Senior Care Options
  - 4 = Minnesota Disability Health Options
  - 5 = Minnesota Senior Health Options
  - 6 = SHMO
  - 7 = SHMO II
  - 8 = Wisconsin Partnership Program

Starting in 2011, there were only two valid values for this variable:

- 0 = Non-Demonstration
- 1 = Demonstration
- **COMMENT:** There are no Part D Demonstration projects 2012 or later.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# DRUG\_BENEFIT\_TYPE

- LABEL: Part D Drug Benefit Type
- **DESCRIPTION:** Indicates the type of Part D benefit structure used by the plan benefit package (Defined Standard, Actuarially Equivalent, Basic Alternative, or Enhanced Alternative).
- SHORT NAME: -
- **LONG NAME:** DRUG\_BENEFIT\_TYPE
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- **VALUES:** 0 = No Benefit Plan Information
  - 1 = Defined Standard benefit
  - 2 = Actuarially Equivalent Standard
  - 3 = Basic Alternative
  - 4 = Enhanced Alternative
- **COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# EFFECTIVE\_DATE

LABEL: Part D Plan Effective Date

**DESCRIPTION:** This variable is the date the Part D plan sponsor began offering the particular Part D plan benefit package.

- SHORT NAME: -
- LONG NAME: EFFECTIVE\_DATE
- TYPE: CHAR
- **LENGTH:** 10
- **SOURCE:** CMS (HPMS Files)
- VALUES: date
- **COMMENT:** Prior to the 2013 data release, CCW was required to encrypt or suppress sensitive data fields, including those which could be used to identify the plan sponsor's contract and plan. Therefore this variable does not appear in legacy data files.

This is the date the Part D plan contract (CONTRACT\_ID) and benefit package number (PLAN\_ID) was first offered.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# EGHP\_CALENDAR\_YEAR\_FLAG

LABEL: Employer Group Health Plan (EGHP) Calendar Year Indicator

**DESCRIPTION:** This variable indicates whether an employer group health plan (EGHP) is defined using a calendar year or non-calendar year.

SHORT NAME: -

LONG NAME: EGHP\_CALENDAR\_YEAR\_FLAG

TYPE: CHAR

LENGTH: 1

**SOURCE:** CMS (HPMS Files)

- VALUES: Y = Yes (calendar year) N = No 9 = Not Applicable
- **COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# EGWP\_INDICATOR

- LABEL: Employer Group Waiver Plan (EGWP) Indicator
- **DESCRIPTION:** This variable indicates whether the Part D plan benefit package is an employer group waiver plan (EGWP).

EGWP plan are not open to general enrollment but rather are offered to beneficiaries through an employer group.

#### SHORT NAME: -

- LONG NAME: EGWP\_INDICATOR
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# EXCLUDED\_DRUGS

LABEL: Plan Covers Excluded Drugs
-----------------------------------

**DESCRIPTION:** This variable indicates whether any excluded drugs are part of the Part D plan's supplemental coverage (e.g., benzodiazepines, barbiturates).

SHORT NAME: -

- LONG NAME: EXCLUDED\_DRUGS
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2007. It applies to Enhanced Alternative Plans ONLY.

If EXCLUDED\_DRUGS='Y' then the FORMULARY\_ID for this plan will have entries in the excluded drug file in the Formulary Characteristics File (available 2010, forward). However, there may be other plans with the same FORMULARY\_ID that do not cover excluded drugs (these are distinguished by the CONTRACT\_ID, PLAN\_ID and FORMULARY\_ID).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### EXCLUDED\_TIER\_GAP

- LABEL: Excluded Drugs Covered on this Tier in the Gap Phase
- **DESCRIPTION:** For Enhanced Alternative plans that cover excluded drugs as a supplemental benefit, this variable Indicates whether the Part D plan provides coverage on this tier during the coverage gap phase.
- SHORT NAME: -
- LONG NAME: EXCLUDED\_TIER\_GAP
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No X = Unknown
- **COMMENT:** This variable was new in 2012.

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# EXCLUDED\_TIER\_PRE\_ICL

LABEL: Excluded drugs are covered on this tier in the Pre ICL phase

**DESCRIPTION:** For Enhanced Alternative plans that cover excluded drugs as a supplemental benefit, this variable Indicates whether the Part D plan provides coverage on this tier during the Pre-initial coverage limit (ICL) phase.

### SHORT NAME: -

- LONG NAME: EXCLUDED\_TIER\_PRE\_ICL
- TYPE: CHAR

LENGTH: 1

- SOURCE: CMS (HPMS Files)
- VALUES: Y = Yes N = No X = Unknown
- **COMMENT:** This variable was new in 2012. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# FIDE\_SNP

- LABEL: Fully Integrated Dual Eligible (FIDE) SNP
- **DESCRIPTION:** Indicates if a Dual Eligible Special Needs Plan (SNP) is a Fully Integrated Dual Eligible (FIDE) SNP.
- SHORT NAME: -
- LONG NAME: FIDE\_SNP
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable is new in 2012

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# FORMULARY\_ID

- LABEL: Part D Formulary Identifier
- **DESCRIPTION:** This variable is the unique identification number assigned to each formulary. Part D plans submit their formularies to CMS and identify the drug products that are covered using the National Library of Medicine's RxNorm Concept Unique Identifiers (RXCUIs).

This field is a key that links of Part D sponsor's formulary file to their contract and plan identifiers.

SHORT NAME: -

- LONG NAME: FORMULARY\_ID
- TYPE: CHAR
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES: 8-digit numeric value
- **COMMENT:** This variable is first available in 2010. Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

The same formulary may be used by more than one plan benefit package (PBP; variable called PLAN\_ID) within a contract.

The CCW constructs a Formulary Characteristics File from the CMS Approved Formulary Data found in the CMS's Health Plan Management System (HPMS).

### FREE\_FIRST\_FILL

LABEL: Free First Prescription Fill

**DESCRIPTION:** This variable indicates whether the plan offers a free first fill (i.e. \$0 copayment) to the beneficiary for any drugs.

- SHORT NAME: -
- LONG NAME: FREE\_FIRST\_FILL
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2007.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### FREE\_GENERICS

LABEL: Plan Offers Free Generic Drugs up to a Maximum Amount

**DESCRIPTION:** This variable indicates whether the Part D plan offers free generic drugs.

SHORT NAME: -

- **LONG NAME:** FREE\_GENERICS
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not applicable X = Unknown
- **COMMENT:** This variable is only available 2008 2010.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# FREE\_GENERICS\_AMT

LABEL: Maximum Amount for Free Generic Drugs

**DESCRIPTION:** This variable indicates the maximum dollar amount for free generic drugs, offered by the Part D plan.

- SHORT NAME: -
- LONG NAME: FREE\_GENERICS\_AMT
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable is only available 2008 2010; it changed from 12 digits to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# **GAP\_COSTSHARE\_TYPE**

- LABEL: Gap Cost Share Type
- **DESCRIPTION:** This variable indicates the type of cost sharing drugs on the tier are subject to in the coverage gap phase, which may include copayment, co-insurance, or the greater/lesser of the two.
- SHORT NAME: -
- LONG NAME: GAP\_COSTSHARE\_TYPE
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: 1 = Coinsurance 2 = Copayment
  - 3 = Greater of Coinsurance and Copayment
  - 4 = Lesser of Coinsurance and Copayment
- **COMMENT:** This variable was new in 2007.

This variable applies only to enhanced plans with gap coverage. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# GAP\_COVERAGE\_TYPE

- LABEL: Type of Gap Coverage Offered
- **DESCRIPTION:** Describes the type of gap coverage offered for Enhanced Alternative plans that offer additional gap coverage beyond the coinsurance in the gap for defined standard coverage.
- SHORT NAME: -
- **LONG NAME:** GAP\_COVERAGE\_TYPE
- TYPE: CHAR
- LENGTH: 2 (was 1 CHAR 2006 2008)
- **SOURCE:** CMS (HPMS Files)

#### VALUES: 01 = Few Brands

- 10 = Few Generics
- 11 = Few Generics and Few Brands
- 20 = Some Generics
- 21 = Some Generics and Few Brands
- 22 = Some Generics and Some Brands
- 30 = All Preferred Generics
- 32 = All Preferred Generics and Some Brands
- 33 = All Preferred Generics and All Preferred Brands
- 40 = All Generics
- 41 = All Generics and Few Brands
- 42 = All Generics and Some Brands
- 43 = All Generics and All Preferred Brands
- 44 = All Generics and All Brands
- 50 = Many Generics
- 51 = Many Generics and Few Brands
- 52 = Many Generics and Some Brand
- 55 = Many Generics and Many Brands
- N = No Gap Coverage
- 9 = Not Applicable
- X = Unknown

#### \*\*\*\*\*\*\*\*VALUES PRIOR TO 2009\*\*\*\*\*\*\*\*\*\*\*\*\*

- N = No Gap Coverage
- G = Generics
- P = Generics and Preferred Brands
- B = Generics and Brands
- A = All Formulary Drugs
- 9 = Not Applicable

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) **COMMENT:** From 2009 - 2014, this value was expanded from 1 to 2-btyes. This variable was retired and did not appear in the 2015 Plan Base File; however the same information is available in the Plan Tier file (see GAP\_TIER\_DRUG\_TYPE).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_INNPP\_1M

- LABEL: Gap In-Network Non-Preferred Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_DAYS\_INNPP\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_DAYS\_INNPP\_2M

- **LABEL:** Gap In-Network Non-Preferred Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_DAYS\_INNPP\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_DAYS\_INNPP\_3M

- **LABEL:** Gap In-Network Non-Preferred Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_INNPP\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_DAYS\_INNPP\_OS

- **LABEL:** Gap In-Network Non-Preferred Pharmacy days in other day supply
- **DESCRIPTION**: This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using an in-network non-preferred pharmacy (INNPP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME:

- LONG NAME: GAP\_DAYS\_INNPP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_INP\_1M

- **LABEL:** Gap In-Network Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_INP\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_DAYS\_INP\_2M

**LABEL:** Gap In-Network Pharmacy - days in 2 month supply

**DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

SHORT NAME: -

- LONG NAME: GAP\_DAYS\_INP\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_INP\_3M

- **LABEL:** Gap In-Network Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_INP\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_INP\_OS

- **LABEL:** Gap In-Network Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using an in-network pharmacy (INP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_INP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_DAYS\_INPP\_1M

- **LABEL:** Gap In-Network Preferred Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- **LONG NAME:** GAP\_DAYS\_INPP\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_INPP\_2M

- **LABEL:** Gap In-Network Preferred Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_INPP\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_INPP\_3M

- **LABEL:** Gap In-Network Preferred Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_INPP\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_INPP\_OS

- **LABEL:** Gap In-Network Preferred Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 month) on this tier, when using an in-network preferred pharmacy (INPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_INPP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_LTCP\_1M

- **LABEL:** Gap Long Term Care Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_LTCP\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated 2008-2012 and 2014+. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_LTCP\_B\_1M

- LABEL: Gap Long Term Care Pharmacy days in 1 month supply of brand name drugs
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of brand name drugs on this tier, when using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_LTCP\_B\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that may offer a different days supply for generic drugs on this tier; see the corresponding LTCP generic drug variable called (GAP\_DAYS\_LTCP\_G\_1M).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_LTCP\_B\_OS

- **LABEL:** Gap Long Term Care Pharmacy days in other day supply of brand name drugs
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of brand name drugs (other than 1 month) on this tier, when using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_LTCP\_B\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that may offer a different days supply for generic drugs on this tier; see the corresponding LTCP generic drug variable called (GAP\_DAYS\_LTCP\_G\_OS).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_LTCP\_G\_1M

- LABEL: Gap Long Term Care Pharmacy days in 1 month supply of generic drugs
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of generic drugs on this tier, when using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- **LONG NAME:** GAP\_DAYS\_LTCP\_G\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that may offer a different days supply for brand name drugs on this tier; see the corresponding LTCP brand name drug variable called (GAP\_DAYS\_LTCP\_B\_1M).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_DAYS\_LTCP\_G\_OS

- LABEL: Gap Long Term Care Pharmacy days in other day supply of generic drugs
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of generic drugs (other than 1 month) on this tier, when using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_DAYS\_LTCP\_G\_OS
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was populated only in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that may offer a different days supply for brand name drugs on this tier; see the corresponding LTCP brand name drug variable called (GAP\_DAYS\_LTCP\_B\_OS).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_MO\_1M

- LABEL: Gap Mail Order Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using a standard mail order pharmacy during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_DAYS\_MO\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_MO\_2M

- **LABEL:** Gap Mail Order Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using a standard mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- **LONG NAME:** GAP\_DAYS\_MO\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_MO\_3M

- LABEL: Gap Mail Order Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using a standard mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- **LONG NAME:** GAP\_DAYS\_MO\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_MO\_OS

- **LABEL:** Gap Mail Order Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using mail order pharmacy during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_MO\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_MONPP\_1M

- LABEL: Gap Mail Order Non-Preferred Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_MONPP\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_MONPP\_2M

- **LABEL:** Gap Mail Order Non-Preferred Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_MONPP\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_MONPP\_3M

- **LABEL:** Gap Mail Order Non-Preferred Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_MONPP\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_MONPP\_OS

- **LABEL:** Gap Mail Order Non-Preferred Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using mail order non-preferred pharmacy (MONPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_MONPP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_MOPP\_1M

- **LABEL:** Gap Mail Order Preferred Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_MOPP\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_MOPP\_2M

- LABEL: Gap Mail Order Preferred Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_MOPP\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_MOPP\_3M

**LABEL:** Gap Mail Order Preferred Pharmacy - days in 3 month supply

**DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_MOPP\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_MOPP\_OS

- **LABEL:** Gap Mail Order Preferred Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_MOPP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_OONP\_1M

- **LABEL:** Gap Out-of-Network Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using an out-of-network pharmacy (OONP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_OONP\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_DAYS\_OONP\_3M

- LABEL: Gap Out-of-Network Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using an out-of-network pharmacy (OONP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_OONP\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only available in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DAYS\_OONP\_OS

- **LABEL:** Gap Out-of-Network Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using an out-of-network pharmacy (OONP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_DAYS\_OONP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_DRUG\_TYPE\_TIER\_01

- LABEL: Gap Drug Type for Tier 1
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered in this formulary tier during the coverage gap phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

### SHORT NAME: -

- LONG NAME: GAP\_DRUG\_TYPE\_TIER\_01
- TYPE: CHAR
- LENGTH: 6
- SOURCE: CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the gap coverage phase.

1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand

**COMMENT:** This variable was new in 2007.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See GAP\_DRUG\_TYPE\_TIER\_01 - GAP\_DRUG\_TYPE\_TIER\_09.

During 2008 - 2010 there were seven tiers (i.e., variables called GAP\_DRUG\_TYPE\_TIER\_08 and GAP\_DRUG\_TYPE\_TIER\_09 did not apply).

For 2011 and later, there were only six tiers (i.e., variable called GAP\_DRUG\_TYPE\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### GAP\_DRUG\_TYPE\_TIER\_02

- LABEL: Gap Drug Type for Tier 2
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered in this formulary tier during the coverage gap phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

#### SHORT NAME: -

- LONG NAME: GAP\_DRUG\_TYPE\_TIER\_02
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the gap coverage phase.
  - 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand
- **COMMENT:** This variable was new in 2007.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See GAP\_DRUG\_TYPE\_TIER\_01 - GAP\_DRUG\_TYPE\_TIER\_09.

During 2008 - 2010 there were seven tiers (i.e., variables called GAP\_DRUG\_TYPE\_TIER\_08 and GAP\_DRUG\_TYPE\_TIER\_09 did not apply).

For 2011 and later, there were only six tiers (i.e., variable called GAP\_DRUG\_TYPE\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### GAP\_DRUG\_TYPE\_TIER\_03

- LABEL: Gap Drug Type for Tier 3
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered in this formulary tier during the coverage gap phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

#### SHORT NAME: -

- LONG NAME: GAP\_DRUG\_TYPE\_TIER\_03
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the gap coverage phase.
  - in 1st digit = Non-Preferred Brand
     in 2nd digit = Generic
     in 3rd digit = Preferred Generic
     in 4th digit = Non-Preferred Generic
     in 5th digit = Brand
     in 6th digit = Preferred Brand
- **COMMENT:** This variable was new in 2007.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See GAP\_DRUG\_TYPE\_TIER\_01 - GAP\_DRUG\_TYPE\_TIER\_09.

During 2008 - 2010 there were seven tiers (i.e., variables called GAP\_DRUG\_TYPE\_TIER\_08 and GAP\_DRUG\_TYPE\_TIER\_09 did not apply).

For 2011 and later, there were only six tiers (i.e., variable called GAP\_DRUG\_TYPE\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### GAP\_DRUG\_TYPE\_TIER\_04

- LABEL: Gap Drug Type for Tier 4
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered in this formulary tier during the coverage gap phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

#### SHORT NAME: -

- LONG NAME: GAP\_DRUG\_TYPE\_TIER\_04
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the gap coverage phase.
  - in 1st digit = Non-Preferred Brand
     in 2nd digit = Generic
     in 3rd digit = Preferred Generic
     in 4th digit = Non-Preferred Generic
     in 5th digit = Brand
     in 6th digit = Preferred Brand
- **COMMENT:** This variable was new in 2007.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See GAP\_DRUG\_TYPE\_TIER\_01 - GAP\_DRUG\_TYPE\_TIER\_09.

During 2008 - 2010 there were seven tiers (i.e., variables called GAP\_DRUG\_TYPE\_TIER\_08 and GAP\_DRUG\_TYPE\_TIER\_09 did not apply).

For 2011 and later, there were only six tiers (i.e., variable called GAP\_DRUG\_TYPE\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### GAP\_DRUG\_TYPE\_TIER\_05

- LABEL: Gap Drug Type for Tier 5
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered in this formulary tier during the coverage gap phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

#### SHORT NAME: -

- LONG NAME: GAP\_DRUG\_TYPE\_TIER\_05
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the gap coverage phase.
  - in 1st digit = Non-Preferred Brand
     in 2nd digit = Generic
     in 3rd digit = Preferred Generic
     in 4th digit = Non-Preferred Generic
     in 5th digit = Brand
     in 6th digit = Preferred Brand
- **COMMENT:** This variable was new in 2007.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See GAP\_DRUG\_TYPE\_TIER\_01 - GAP\_DRUG\_TYPE\_TIER\_09.

During 2008 - 2010 there were seven tiers (i.e., variables called GAP\_DRUG\_TYPE\_TIER\_08 and GAP\_DRUG\_TYPE\_TIER\_09 did not apply).

For 2011 and later, there were only six tiers (i.e., variable called GAP\_DRUG\_TYPE\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## GAP\_DRUG\_TYPE\_TIER\_06

- **LABEL:** Gap Drug Type for Tier 6
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered in this formulary tier during the coverage gap phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

#### SHORT NAME: -

- LONG NAME: GAP\_DRUG\_TYPE\_TIER\_06
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the gap coverage phase.
  - in 1st digit = Non-Preferred Brand
     in 2nd digit = Generic
     in 3rd digit = Preferred Generic
     in 4th digit = Non-Preferred Generic
     in 5th digit = Brand
     in 6th digit = Preferred Brand
- **COMMENT:** This variable was new in 2007.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See GAP\_DRUG\_TYPE\_TIER\_01 - GAP\_DRUG\_TYPE\_TIER\_09.

During 2008 - 2010 there were seven tiers (i.e., variables called GAP\_DRUG\_TYPE\_TIER\_08 and GAP\_DRUG\_TYPE\_TIER\_09 did not apply).

For 2011 and later, there were only six tiers (i.e., variable called GAP\_DRUG\_TYPE\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

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# GAP\_DRUG\_TYPE\_TIER\_07

- LABEL: Gap Drug Type for Tier 7
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered in this formulary tier during the coverage gap phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

### SHORT NAME: -

- LONG NAME: GAP\_DRUG\_TYPE\_TIER\_07
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the gap coverage phase.
  - in 1st digit = Non-Preferred Brand
     in 2nd digit = Generic
     in 3rd digit = Preferred Generic
     in 4th digit = Non-Preferred Generic
     in 5th digit = Brand
     in 6th digit = Preferred Brand
- **COMMENT:** This variable was new in 2007.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See GAP\_DRUG\_TYPE\_TIER\_01 - GAP\_DRUG\_TYPE\_TIER\_09.

During 2008 - 2010 there were seven tiers (i.e., variables called GAP\_DRUG\_TYPE\_TIER\_08 and GAP\_DRUG\_TYPE\_TIER\_09 did not apply).

For 2011 and later, there were only six tiers (i.e., variable called GAP\_DRUG\_TYPE\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

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# GAP\_DRUG\_TYPE\_TIER\_08

- LABEL: Gap Drug Type for Tier 8
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered in this formulary tier during the coverage gap phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

#### SHORT NAME: -

- LONG NAME: GAP\_DRUG\_TYPE\_TIER\_08
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the gap coverage phase.
  - in 1st digit = Non-Preferred Brand
     in 2nd digit = Generic
     in 3rd digit = Preferred Generic
     in 4th digit = Non-Preferred Generic
     in 5th digit = Brand
     in 6th digit = Preferred Brand
- **COMMENT:** This variable was new in 2007.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See GAP\_DRUG\_TYPE\_TIER\_01 - GAP\_DRUG\_TYPE\_TIER\_09.

During 2008 - 2010 there were seven tiers (i.e., variables called GAP\_DRUG\_TYPE\_TIER\_08 and GAP\_DRUG\_TYPE\_TIER\_09 did not apply).

For 2011 and later, there were only six tiers (i.e., variable called GAP\_DRUG\_TYPE\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

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# GAP\_DRUG\_TYPE\_TIER\_09

- LABEL: Gap Drug Type for Tier 9
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered in this formulary tier during the coverage gap phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

#### SHORT NAME: -

- LONG NAME: GAP\_DRUG\_TYPE\_TIER\_09
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the gap coverage phase.
  - in 1st digit = Non-Preferred Brand
     in 2nd digit = Generic
     in 3rd digit = Preferred Generic
     in 4th digit = Non-Preferred Generic
     in 5th digit = Brand
     in 6th digit = Preferred Brand
- **COMMENT:** This variable was new in 2007.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See GAP\_DRUG\_TYPE\_TIER\_01 - GAP\_DRUG\_TYPE\_TIER\_09.

During 2008 - 2010 there were seven tiers (i.e., variables called GAP\_DRUG\_TYPE\_TIER\_08 and GAP\_DRUG\_TYPE\_TIER\_09 did not apply).

For 2011 and later, there were only six tiers (i.e., variable called GAP\_DRUG\_TYPE\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

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# GAP\_INNPP\_COINS\_1M

- LABEL: Gap In-Network Non-Preferred Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_INNPP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INNPP\_COPAY\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_INNPP\_COINS\_2M

- LABEL: Gap In-Network Non-Preferred Pharmacy Coinsurance for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_INNPP\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INNPP\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_INNPP\_COINS\_3M

- LABEL: Gap In-Network Non-Preferred Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_INNPP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INNPP\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_INNPP\_COINS\_AVG\_1M

- LABEL: Gap In-Network Non-Preferred Pharmacy Average Expected Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the average expected cost-sharing amount in dollars for beneficiary co-insurance for a 1 month supply of the drugs on this tier, using an innetwork non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_INNPP\_COINS\_AVG\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

CMS instructed plans to calculate this amount by including the average expected costsharing amount in dollars that a beneficiary would be expected to pay at a network retail pharmacy for a one month supply of drugs. This estimate should be based on available plan data (such as the most current 6 months of PDE data) for retail pharmacies only. Neither retail extended day supply nor mail order location data should be included in the estimate.

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

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# GAP\_INNPP\_COINS\_OS

- LABEL: Gap In-Network Non-Preferred Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network non-preferred pharmacy (INNPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_INNPP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INNPP\_COPAY\_OS).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

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# GAP\_INNPP\_COPAY\_1M

- **LABEL:** Gap In-Network Non-Preferred Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_INNPP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INNPP\_COINS\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_INNPP\_COPAY\_2M

- **LABEL:** Gap In-Network Non-Preferred Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 2 month supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_INNPP\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INNPP\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_INNPP\_COPAY\_3M

- **LABEL:** Gap In-Network Non-Preferred Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 3 month supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_INNPP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INNPP\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_INNPP\_COPAY\_DAILY

- **LABEL:** Gap In-Network Non-Preferred Pharmacy Copay for one day supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a one day supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_INNPP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_INNPP\_COPAY\_OS

- LABEL: Gap In-Network Non-Preferred Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network non-preferred pharmacy (INNPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_INNPP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INNPP\_COINS\_OS).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

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LABEL: Coverage Gap In-Network Pharmacy Coinsurance for 1 Month Supply on Tier 1

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COINS\_TIER\_01
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_INP\_1M\_COINS\_TIER\_01 - GAP\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COINS\_TIER\_08 and GAP\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Coinsurance for 1 month Supply on Tier 2

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COINS\_TIER\_02
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_INP\_1M\_COINS\_TIER\_01 - GAP\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COINS\_TIER\_08 and GAP\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Coinsurance for 1 month Supply on Tier 3

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COINS\_TIER\_03
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_INP\_1M\_COINS\_TIER\_01 - GAP\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COINS\_TIER\_08 and GAP\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Coinsurance for 1 month Supply on Tier 4

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COINS\_TIER\_04
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_INP\_1M\_COINS\_TIER\_01 - GAP\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COINS\_TIER\_08 and GAP\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Coinsurance for 1 month Supply on Tier 5

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COINS\_TIER\_05
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_INP\_1M\_COINS\_TIER\_01 - GAP\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COINS\_TIER\_08 and GAP\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Coinsurance for 1 month Supply on Tier 6

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COINS\_TIER\_06
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_INP\_1M\_COINS\_TIER\_01 - GAP\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COINS\_TIER\_08 and GAP\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Coinsurance for 1 month Supply on Tier 7

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COINS\_TIER\_07
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_INP\_1M\_COINS\_TIER\_01 - GAP\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COINS\_TIER\_08 and GAP\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Coinsurance for 1 month Supply on Tier 8

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COINS\_TIER\_08
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_INP\_1M\_COINS\_TIER\_01 - GAP\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COINS\_TIER\_08 and GAP\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Coinsurance for 1 month Supply on Tier 9

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COINS\_TIER\_09
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_INP\_1M\_COINS\_TIER\_01 - GAP\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COINS\_TIER\_08 and GAP\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Copay for 1 Month Supply on Tier 1

**DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

LONG NAME: GAP\_INP\_1M\_COPAY\_TIER\_01

- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_INP\_1M\_COPAY\_TIER\_01 - GAP\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COPAY\_TIER\_08 and GAP\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Copay for 1 month Supply on Tier 2

**DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

LONG NAME: GAP\_INP\_1M\_COPAY\_TIER\_02

- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_INP\_1M\_COPAY\_TIER\_01 - GAP\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COPAY\_TIER\_08 and GAP\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Copay for 1 month Supply on Tier 3

**DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

LONG NAME: GAP\_INP\_1M\_COPAY\_TIER\_03

- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_INP\_1M\_COPAY\_TIER\_01 - GAP\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COPAY\_TIER\_08 and GAP\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Copay for 1 month Supply on Tier 4

**DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

LONG NAME: GAP\_INP\_1M\_COPAY\_TIER\_04

- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_INP\_1M\_COPAY\_TIER\_01 - GAP\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COPAY\_TIER\_08 and GAP\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Copay for 1 month Supply on Tier 5

**DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COPAY\_TIER\_05
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_INP\_1M\_COPAY\_TIER\_01 - GAP\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COPAY\_TIER\_08 and GAP\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Copay for 1 month Supply on Tier 6

**DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

LONG NAME: GAP\_INP\_1M\_COPAY\_TIER\_06

- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_INP\_1M\_COPAY\_TIER\_01 - GAP\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COPAY\_TIER\_08 and GAP\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Copay for 1 month Supply on Tier 7

**DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

LONG NAME: GAP\_INP\_1M\_COPAY\_TIER\_07

- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_INP\_1M\_COPAY\_TIER\_01 - GAP\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COPAY\_TIER\_08 and GAP\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Copay for 1 month Supply on Tier 8

**DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_INP\_1M\_COPAY\_TIER\_08
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_INP\_1M\_COPAY\_TIER\_01 - GAP\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COPAY\_TIER\_08 and GAP\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

LABEL: Coverage Gap In-Network Pharmacy Copay for 1 month Supply on Tier 9

**DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

LONG NAME: GAP\_INP\_1M\_COPAY\_TIER\_09

- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_INP\_1M\_COPAY\_TIER\_01 - GAP\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables GAP\_INP\_1M\_COPAY\_TIER\_08 and GAP\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called GAP\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

#### GAP\_INP\_COINS\_1M

- LABEL: Gap In-Network Pharmacy Coinsurance for 1 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_INP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INP\_COPAY\_1M). This variable also appears in the Plan Characteristics Base File (see GAP\_INP\_1M\_COINS\_TIER\_01 GAP\_INP\_1M\_COINS\_TIER\_09).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INP\_COINS\_2M

- LABEL: Gap In-Network Pharmacy Coinsurance for 2 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INP\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INP\_COINS\_3M

- LABEL: Gap In-Network Pharmacy Coinsurance for 3 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INP\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INP\_COINS\_AVG\_1M

- LABEL: Gap In-Network Pharmacy Average Expected Coinsurance for 1 month Supply
- **DESCRIPTION:** This variable identifies the average expected cost-sharing amount in dollars for beneficiary co-insurance for a 1 month supply of the drugs on this tier, using an innetwork pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_COINS\_AVG\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

CMS instructed plans to calculate this amount by including the average expected costsharing amount in dollars that a beneficiary would be expected to pay at a standard/network retail pharmacy for a one month supply of drugs. This estimate should be based on available plan data (such as the most current 6 months of PDE data) for retail pharmacies only. Neither retail extended day supply nor mail order location data should be included in the estimate.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INP\_COINS\_OS

- LABEL: Gap In-Network Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INP\_COPAY\_OS).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_INP\_COPAY\_1M

- **LABEL:** Gap In-Network Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INP\_COINS\_1M). This variable also appears in the Plan Characteristics Base File (see GAP\_INP\_1M\_COPAY\_TIER\_01 GAP\_INP\_1M\_COPAY\_TIER\_09).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INP\_COPAY\_2M

- **LABEL:** Gap In-Network Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 2 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INP\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INP\_COPAY\_3M

- LABEL: Gap In-Network Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 3 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INP\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INP\_COPAY\_DAILY

- **LABEL:** Gap In-Network Pharmacy Copay for one day supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a one day supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_INP\_COPAY\_OS

- LABEL: Gap In-Network Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INP\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INPP\_COINS\_1M

- LABEL: Gap In-Network Preferred Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INPP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INPP\_COPAY\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INPP\_COINS\_2M

- LABEL: Gap In-Network Preferred Pharmacy Coinsurance for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INPP\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INPP\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INPP\_COINS\_3M

- LABEL: Gap In-Network Preferred Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INPP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INPP\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_INPP\_COINS\_AVG\_1M

- **LABEL:** Gap In-Network Preferred Pharmacy Average Expected Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the average expected cost-sharing amount in dollars for beneficiary co-insurance for a 1 month supply of the drugs on this tier, using an innetwork preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INPP\_COINS\_AVG\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. CMS instructed plans to calculate this amount by including the average expected cost-sharing amount in dollars that a beneficiary would be expected to pay at a network retail pharmacy for a one month supply of drugs. This estimate should be based on available plan data (such as the most current 6 months of PDE data) for retail pharmacies only. Neither retail extended day supply nor mail order location data should be included in the estimate.

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INPP\_COINS\_OS

- **LABEL:** Gap In-Network Preferred Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network preferred pharmacy (INPP) during the coverage gap phase of the Part D benefit other supply.

#### SHORT NAME: -

- LONG NAME: GAP\_INPP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INPP\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INPP\_COPAY\_1M

- **LABEL:** Gap In-Network Preferred Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INPP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INPP\_COINS\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INPP\_COPAY\_2M

- **LABEL:** Gap In-Network Preferred Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 2 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INPP\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INPP\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_INPP\_COPAY\_3M

- LABEL: Gap In-Network Preferred Pharmacy Copay for 3 month supply
- DESCRIPTION: This variable identifies the beneficiary co-payment amount for a 3 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_INPP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- COMMENT: This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap.

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INPP\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

## GAP\_INPP\_COPAY\_DAILY

- LABEL: Gap In-Network Preferred Pharmacy Copay for 1 day supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a one day supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INPP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_INPP\_COPAY\_OS

- **LABEL:** Gap In-Network Preferred Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network preferred pharmacy (INPP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_INPP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_INPP\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_LTCP\_COINS\_1M

- **LABEL:** Gap Long Term Care Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_LTCP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was populated 2008-2012 and 2014+. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_LTCP\_COPAY\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_LTCP\_COINS\_B\_1M

- **LABEL:** Gap Long Term Care Pharmacy Coinsurance for 1 month supply of brand name drugs
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of brand name drugs on this tier, using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- **LONG NAME:** GAP\_LTCP\_COINS\_B\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans may offer a different coinsurance percentage for generic drugs on this tier; see the corresponding LTCP generic drug variable called (GAP\_LTCP\_COINS\_G\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_LTCP\_COINS\_G\_1M

- LABEL: Gap Long Term Care Pharmacy Coinsurance for 1 month supply of generic drugs
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of generic drugs on this tier, using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_LTCP\_COINS\_G\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that may offer a different coinsurance percentage for brand name drugs on this tier; see the corresponding LTCP brand name drug variable called (GAP\_LTCP\_COINS\_B\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_LTCP\_COPAY\_1M

- **LABEL:** Gap Long Term Care Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of the drugs on this tier, using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_LTCP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated 2008-2012 and 2014+. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_LTCP\_COINS\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_LTCP\_COPAY\_B\_1M

- **LABEL:** Gap Long Term Care Pharmacy Copay for 1 month supply of brand name drugs
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of brand name drugs on this tier, using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_LTCP\_COPAY\_B\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that may offer a different coinsurance percentage for generic drugs on this tier; see the corresponding LTCP generic drug variable called (GAP\_LTCP\_COPAY\_G\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_LTCP\_COPAY\_B\_DAILY

- LABEL: Gap Long Term Care Pharmacy Copay for 1 day supply of brand name drugs
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a one day supply of of brand name drugs on this tier, using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_LTCP\_COPAY\_B\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was populated only in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that may offer a different coinsurance percentage for generic drugs on this tier; see the corresponding LTCP generic drug variable called (GAP\_LTCP\_COPAY\_G\_DAILY). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_LTCP\_COPAY\_DAILY

- LABEL: Gap Long Term Care Pharmacy Copay for one day supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a one day supply of the drugs on this tier, using long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_LTCP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2014. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_LTCP\_COPAY\_G\_1M

- LABEL: Gap Long Term Care Pharmacy Copay for 1 month supply of generic drugs
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of generic drugs on this tier, using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_LTCP\_COPAY\_G\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was populated only in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that may offer a different coinsurance percentage for brand name drugs on this tier; see the corresponding LTCP brand name drug variable called (GAP\_LTCP\_COPAY\_B\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_LTCP\_COPAY\_G\_DAILY

- LABEL: Gap Long Term Care Pharmacy Copay for 1 day supply of generic drugs
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a one day supply of of generic drugs on this tier, using a long term care pharmacy (LTCP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_LTCP\_COPAY\_G\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was populated only in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that may offer a different coinsurance percentage for brand name drugs on this tier; see the corresponding LTCP brand name drug variable called (GAP\_LTCP\_COPAY\_B\_DAILY). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_MO\_COINS\_1M

- LABEL: Gap Mail Order Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using a standard mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_MO\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MO\_COPAY\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_MO\_COINS\_2M

- LABEL: Gap Mail Order Pharmacy Coinsurance for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using a standard mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_MO\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MO\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_MO\_COINS\_3M

- LABEL: Gap Mail Order Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using a standard mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_MO\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MO\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MO\_COINS\_OS

- LABEL: Gap Mail Order Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using a mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_MO\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MO\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MO\_COPAY\_1M

- **LABEL:** Gap Mail Order Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of the drugs on this tier, using a standard mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_MO\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MO\_COINS\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_MO\_COPAY\_2M

- **LABEL:** Gap Mail Order Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 2 month supply of the drugs on this tier, using a standard mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_MO\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MO\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_MO\_COPAY\_3M

- **LABEL:** Gap Mail Order Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 3 month supply of the drugs on this tier, using a standard mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_MO\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MO\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MO\_COPAY\_DAILY

- **LABEL:** Gap Mail Order Pharmacy Copay for 1 day supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a one day supply of the drugs on this tier, using a standard mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_MO\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MO\_COPAY\_OS

- LABEL: Gap Mail Order Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using a mail order pharmacy during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_MO\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MO\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_MONPP\_COINS\_1M

- LABEL: Gap Mail Order Non-Preferred Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using a mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_MONPP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MONPP\_COPAY\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_MONPP\_COINS\_2M

- LABEL: Gap Mail Order Non-Preferred Pharmacy Coinsurance for 2 month supply
- **DESCRIPTION:** his variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using a mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_MONPP\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MONPP\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_MONPP\_COINS\_3M

- LABEL: Gap Mail Order Non-Preferred Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using a mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_MONPP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MONPP\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MONPP\_COINS\_OS

- **LABEL:** Gap Mail Order Non-Preferred Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using a mail order non-preferred pharmacy (MONPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MONPP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MONPP\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_MONPP\_COPAY\_1M

- **LABEL:** Gap Mail Order Non-Preferred Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of the drugs on this tier, using a mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_MONPP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MONPP\_COINS\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MONPP\_COPAY\_2M

- **LABEL:** Gap Mail Order Non-Preferred Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 2 month supply of the drugs on this tier, using a mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MONPP\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MONPP\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_MONPP\_COPAY\_3M

- **LABEL:** Gap Mail Order Non-Preferred Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 3 month supply of the drugs on this tier, using a mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_MONPP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MONPP\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### GAP\_MONPP\_COPAY\_DAILY

- **LABEL:** Gap Mail Order Non-Preferred Pharmacy Copay for 1 day supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a one day supply of the drugs on this tier, using a mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the coverage gap phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: GAP\_MONPP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MONPP\_COPAY\_OS

- **LABEL:** Gap Mail Order Non-Preferred Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a days supply of the drugs (other than 1 or 3 months) this tier, using a mail order non-preferred pharmacy (MONPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MONPP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MONPP\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MOPP\_COINS\_1M

- **LABEL:** Gap Mail Order Preferred Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using a mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MOPP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MOPP\_COPAY\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MOPP\_COINS\_2M

- **LABEL:** Gap Mail Order Preferred Pharmacy Coinsurance for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using a mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MOPP\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MOPP\_COPAY\_2M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MOPP\_COINS\_3M

- **LABEL:** Gap Mail Order Preferred Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using a mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_MOPP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MOPP\_COPAY\_3M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MOPP\_COINS\_OS

- LABEL: Gap Mail Order Preferred Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using a mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MOPP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated from 2007-2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MOPP\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MOPP\_COPAY\_1M

- **LABEL:** Gap Mail Order Preferred Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of the drugs on this tier, using a mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MOPP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MOPP\_COINS\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MOPP\_COPAY\_2M

- **LABEL:** Gap Mail Order Preferred Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 2 month supply of the drugs on this tier, using a mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MOPP\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MOPP\_COINS\_2M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MOPP\_COPAY\_3M

- **LABEL:** Gap Mail Order Preferred Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 3 month supply of the drugs on this tier, using a mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MOPP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MOPP\_COINS\_3M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MOPP\_COPAY\_DAILY

- **LABEL:** Gap Mail Order Preferred Pharmacy Copay for 1 day supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a one day supply of the drugs on this tier, using a mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MOPP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_MOPP\_COPAY\_OS

- **LABEL:** Gap Mail Order Preferred Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using a mail order preferred pharmacy (MOPP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_MOPP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_MOPP\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_OONP\_COINS\_1M

- **LABEL:** Gap Out-of-Network Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an out-of-network pharmacy (OONP) during the coverage gap phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: GAP\_OONP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_OONP\_COPAY\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_OONP\_COINS\_1M

- **LABEL:** Gap Out-of-Network Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using an out-of-network pharmacy (OONP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_OONP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_OONP\_COPAY\_3M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_OONP\_COINS\_OS

- **LABEL:** Gap Out-of-Network Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using an out-of-network pharmacy (OONP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_OONP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_OONP\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_OONP\_COPAY\_1M

- **LABEL:** Gap Out-of-Network Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of the drugs on this tier, using an out-of-network pharmacy (OONP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_OONP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_OONP\_COINS\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_OONP\_COPAY\_3M

- **LABEL:** Gap Out-of-Network Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 3 month supply of the drugs on this tier, using an out-of-network pharmacy (OONP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_OONP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_OONP\_COINS\_3M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# GAP\_OONP\_COPAY\_OS

- LABEL: Gap Out-of-Network Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using an out-of-network pharmacy (OONP) during the coverage gap phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: GAP\_OONP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. It will only be populated if the plan has an enhanced benefit design with extra coverage in the gap. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (GAP\_OONP\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

- LABEL: Partial Gap Coverage on Tier 1
- **DESCRIPTION:** This variable indicates whether the Part D plans that offer some cost sharing in the gap (i.e., those where the GAP\_TIER value for this tier = "Y" [yes]), this variable indicates whether or not the cost sharing applies to a partial list of drugs.

If only a limited number of drugs on the tier are covered during the gap then this value will be 'Y'. If all drugs on the tier are covered through the gap then this value will be 'N'.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_PARTIAL\_TIER\_01
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes, limited drugs N = No, all drugs covered 9 = Not Applicable, no gap coverage X = Unknown
- **COMMENT:** This variable was new in 2008.

There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 - GAP\_PARTIAL\_TIER\_07.

For 2011 and later, there were only six tiers (i.e., variable called GAP\_PARTIAL\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Partial Gap Coverage on Tier 2
- **DESCRIPTION:** This variable indicates whether the Part D plans that offer some cost sharing in the gap (i.e., those where the GAP\_TIER value for this tier = "Y" [yes]), this variable indicates whether or not the cost sharing applies to a partial list of drugs.

If only a limited number of drugs on the tier are covered during the gap then this value will be 'Y'. If all drugs on the tier are covered through the gap then this value will be 'N'.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_PARTIAL\_TIER\_02
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes, limited drugs N = No, all drugs covered 9 = Not Applicable, no gap coverage X = Unknown
- **COMMENT:** This variable was new in 2008.

There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 - GAP\_PARTIAL\_TIER\_07.

For 2011 and later, there were only six tiers (i.e., variable called GAP\_PARTIAL\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Partial Gap Coverage on Tier 3
- **DESCRIPTION:** This variable indicates whether the Part D plans that offer some cost sharing in the gap (i.e., those where the GAP\_TIER value for this tier = "Y" [yes]), this variable indicates whether or not the cost sharing applies to a partial list of drugs.

If only a limited number of drugs on the tier are covered during the gap then this value will be 'Y'. If all drugs on the tier are covered through the gap then this value will be 'N'.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_PARTIAL\_TIER\_03
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes, limited drugs N = No, all drugs covered 9 = Not Applicable, no gap coverage X = Unknown
- **COMMENT:** This variable was new in 2008.

There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 - GAP\_PARTIAL\_TIER\_07.

For 2011 and later, there were only six tiers (i.e., variable called GAP\_PARTIAL\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Partial Gap Coverage on Tier 4
- **DESCRIPTION:** This variable indicates whether the Part D plans that offer some cost sharing in the gap (i.e., those where the GAP\_TIER value for this tier = "Y" [yes]), this variable indicates whether or not the cost sharing applies to a partial list of drugs.

If only a limited number of drugs on the tier are covered during the gap then this value will be 'Y'. If all drugs on the tier are covered through the gap then this value will be 'N'.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_PARTIAL\_TIER\_04
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes, limited drugs N = No, all drugs covered 9 = Not Applicable, no gap coverage X = Unknown
- **COMMENT:** This variable was new in 2008.

There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 - GAP\_PARTIAL\_TIER\_07.

For 2011 and later, there were only six tiers (i.e., variable called GAP\_PARTIAL\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Partial Gap Coverage on Tier 5
- **DESCRIPTION:** This variable indicates whether the Part D plans that offer some cost sharing in the gap (i.e., those where the GAP\_TIER value for this tier = "Y" [yes]), this variable indicates whether or not the cost sharing applies to a partial list of drugs.

If only a limited number of drugs on the tier are covered during the gap then this value will be 'Y'. If all drugs on the tier are covered through the gap then this value will be 'N'.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_PARTIAL\_TIER\_05
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes, limited drugs N = No, all drugs covered 9 = Not Applicable, no gap coverage X = Unknown
- **COMMENT:** This variable was new in 2008.

There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 - GAP\_PARTIAL\_TIER\_07.

For 2011 and later, there were only six tiers (i.e., variable called GAP\_PARTIAL\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Partial Gap Coverage on Tier 6
- **DESCRIPTION:** This variable indicates whether the Part D plans that offer some cost sharing in the gap (i.e., those where the GAP\_TIER value for this tier = "Y" [yes]), this variable indicates whether or not the cost sharing applies to a partial list of drugs.

If only a limited number of drugs on the tier are covered during the gap then this value will be 'Y'. If all drugs on the tier are covered through the gap then this value will be 'N'.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_PARTIAL\_TIER\_06
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes, limited drugs N = No, all drugs covered 9 = Not Applicable, no gap coverage X = Unknown
- **COMMENT:** This variable was new in 2008.

There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 - GAP\_PARTIAL\_TIER\_07.

For 2011 and later, there were only six tiers (i.e., variable called GAP\_PARTIAL\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Partial Gap Coverage on Tier 7
- **DESCRIPTION:** This variable indicates whether the Part D plans that offer some cost sharing in the gap (i.e., those where the GAP\_TIER value for this tier = "Y" [yes]), this variable indicates whether or not the cost sharing applies to a partial list of drugs.

If only a limited number of drugs on the tier are covered during the gap then this value will be 'Y'. If all drugs on the tier are covered through the gap then this value will be 'N'.

Applies only to enhanced plans with gap coverage.

### SHORT NAME: -

- LONG NAME: GAP\_PARTIAL\_TIER\_07
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes, limited drugs N = No, all drugs covered 9 = Not Applicable, no gap coverage X = Unknown
- **COMMENT:** This variable was new in 2008.

There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 - GAP\_PARTIAL\_TIER\_07.

For 2011 and later, there were only six tiers (i.e., variable called GAP\_PARTIAL\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# GAP\_PARTIAL\_TYPE\_TIER\_01

- LABEL: Type of Partial Gap Coverage on Tier 1
- **DESCRIPTION:** For tiers that offer some cost sharing coverage for a partial list of drugs during the gap (i.e., those where the GAP\_PARTIAL\_TIER value for this tier = "Y" [yes]), this variable describes the type(s) of drugs.

Applies only to enhanced plans with gap coverage.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

SHORT NAME: -

- **LONG NAME:** GAP\_PARTIAL\_TYPE\_TIER\_01
- TYPE: CHAR
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 11000000 (1s in the 1st and 2nd digits), then generic and only selected brand drugs are covered on this tier during the coverage gap phase.
  - 1 in 1st digit = Only Select Brands
    1 in 2nd digit = All Generics
    1 in 3rd digit = All Preferred Generics
    1 in 4th digit = All Non-Preferred Generics
    1 in 5th digit = Only Select Generics
    1 in 6th digit = All Brands
    1 in 7th digit = All Preferred Brands
    1 in 8th digit = All Non-Preferred Brands
- **COMMENT:** This variable was new in 2008, and was only valid through 2010. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 GAP\_PARTIAL\_TIER\_07. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# GAP\_PARTIAL\_TYPE\_TIER\_02

- LABEL: Type of Partial Gap Coverage on Tier 2
- **DESCRIPTION:** For tiers that offer some cost sharing coverage for a partial list of drugs during the gap (i.e., those where the GAP\_PARTIAL\_TIER value for this tier = "Y" [yes]), this variable describes the type(s) of drugs.

Applies only to enhanced plans with gap coverage.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

SHORT NAME: -

- **LONG NAME:** GAP\_PARTIAL\_TYPE\_TIER\_02
- TYPE: CHAR
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 11000000 (1s in the 1st and 2nd digits), then generic and only selected brand drugs are covered on this tier during the coverage gap phase.
  - 1 in 1st digit = Only Select Brands
    1 in 2nd digit = All Generics
    1 in 3rd digit = All Preferred Generics
    1 in 4th digit = All Non-Preferred Generics
    1 in 5th digit = Only Select Generics
    1 in 6th digit = All Brands
    1 in 7th digit = All Preferred Brands
    1 in 8th digit = All Non-Preferred Brands
- **COMMENT:** This variable was new in 2008, and was only valid through 2010. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 GAP\_PARTIAL\_TIER\_07. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# GAP\_PARTIAL\_TYPE\_TIER\_03

- LABEL: Type of Partial Gap Coverage on Tier 3
- **DESCRIPTION:** For tiers that offer some cost sharing coverage for a partial list of drugs during the gap (i.e., those where the GAP\_PARTIAL\_TIER value for this tier = "Y" [yes]), this variable describes the type(s) of drugs.

Applies only to enhanced plans with gap coverage.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

SHORT NAME: -

- **LONG NAME:** GAP\_PARTIAL\_TYPE\_TIER\_03
- TYPE: CHAR
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 11000000 (1s in the 1st and 2nd digits), then generic and only selected brand drugs are covered on this tier during the coverage gap phase.
  - 1 in 1st digit = Only Select Brands
    1 in 2nd digit = All Generics
    1 in 3rd digit = All Preferred Generics
    1 in 4th digit = All Non-Preferred Generics
    1 in 5th digit = Only Select Generics
    1 in 6th digit = All Brands
    1 in 7th digit = All Preferred Brands
    1 in 8th digit = All Non-Preferred Brands
- **COMMENT:** This variable was new in 2008, and was only valid through 2010. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 GAP\_PARTIAL\_TIER\_07. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- **LABEL:** Type of Partial Gap Coverage on Tier 4
- **DESCRIPTION:** For tiers that offer some cost sharing coverage for a partial list of drugs during the gap (i.e., those where the GAP\_PARTIAL\_TIER value for this tier = "Y" [yes]), this variable describes the type(s) of drugs.

Applies only to enhanced plans with gap coverage.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

SHORT NAME: -

- **LONG NAME:** GAP\_PARTIAL\_TYPE\_TIER\_04
- TYPE: CHAR
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 11000000 (1s in the 1st and 2nd digits), then generic and only selected brand drugs are covered on this tier during the coverage gap phase.
  - 1 in 1st digit = Only Select Brands
    1 in 2nd digit = All Generics
    1 in 3rd digit = All Preferred Generics
    1 in 4th digit = All Non-Preferred Generics
    1 in 5th digit = Only Select Generics
    1 in 6th digit = All Brands
    1 in 7th digit = All Preferred Brands
    1 in 8th digit = All Non-Preferred Brands
- **COMMENT:** This variable was new in 2008, and was only valid through 2010. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 GAP\_PARTIAL\_TIER\_07. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Type of Partial Gap Coverage on Tier 5
- **DESCRIPTION:** For tiers that offer some cost sharing coverage for a partial list of drugs during the gap (i.e., those where the GAP\_PARTIAL\_TIER value for this tier = "Y" [yes]), this variable describes the type(s) of drugs.

Applies only to enhanced plans with gap coverage.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

SHORT NAME: -

- LONG NAME: GAP\_PARTIAL\_TYPE\_TIER\_05
- TYPE: CHAR
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 11000000 (1s in the 1st and 2nd digits), then generic and only selected brand drugs are covered on this tier during the coverage gap phase.
  - 1 in 1st digit = Only Select Brands
    1 in 2nd digit = All Generics
    1 in 3rd digit = All Preferred Generics
    1 in 4th digit = All Non-Preferred Generics
    1 in 5th digit = Only Select Generics
    1 in 6th digit = All Brands
    1 in 7th digit = All Preferred Brands
    1 in 8th digit = All Non-Preferred Brands
- **COMMENT:** This variable was new in 2008, and was only valid through 2010. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 GAP\_PARTIAL\_TIER\_07. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Type of Partial Gap Coverage on Tier 6
- **DESCRIPTION:** For tiers that offer some cost sharing coverage for a partial list of drugs during the gap (i.e., those where the GAP\_PARTIAL\_TIER value for this tier = "Y" [yes]), this variable describes the type(s) of drugs.

Applies only to enhanced plans with gap coverage.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

SHORT NAME: -

- **LONG NAME:** GAP\_PARTIAL\_TYPE\_TIER\_06
- TYPE: CHAR
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 11000000 (1s in the 1st and 2nd digits), then generic and only selected brand drugs are covered on this tier during the coverage gap phase.
  - 1 in 1st digit = Only Select Brands
    1 in 2nd digit = All Generics
    1 in 3rd digit = All Preferred Generics
    1 in 4th digit = All Non-Preferred Generics
    1 in 5th digit = Only Select Generics
    1 in 6th digit = All Brands
    1 in 7th digit = All Preferred Brands
    1 in 8th digit = All Non-Preferred Brands
- **COMMENT:** This variable was new in 2008, and was only valid through 2010. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 GAP\_PARTIAL\_TIER\_07. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Type of Partial Gap Coverage on Tier 7
- **DESCRIPTION:** For tiers that offer some cost sharing coverage for a partial list of drugs during the gap (i.e., those where the GAP\_PARTIAL\_TIER value for this tier = "Y" [yes]), this variable describes the type(s) of drugs.

Applies only to enhanced plans with gap coverage.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

SHORT NAME: -

- LONG NAME: GAP\_PARTIAL\_TYPE\_TIER\_07
- TYPE: CHAR
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 11000000 (1s in the 1st and 2nd digits), then generic and only selected brand drugs are covered on this tier during the coverage gap phase.
  - 1 in 1st digit = Only Select Brands
    1 in 2nd digit = All Generics
    1 in 3rd digit = All Preferred Generics
    1 in 4th digit = All Non-Preferred Generics
    1 in 5th digit = Only Select Generics
    1 in 6th digit = All Brands
    1 in 7th digit = All Preferred Brands
    1 in 8th digit = All Non-Preferred Brands
- **COMMENT:** This variable was new in 2008, and was only valid through 2010. There are different variables to describe all possible tiers in the coverage gap benefit phase. See also GAP\_PARTIAL\_TIER\_01 GAP\_PARTIAL\_TIER\_07. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Gap Tier
- **DESCRIPTION:** Indicates whether the formulary tier has extra coverage in the during the coverage gap phase.

SHORT NAME: -

- LONG NAME: GAP\_TIER
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)

VALUES: Y = Yes N = No 9 = Not Applicable

- X = Unknown
- **COMMENT:** This variable was new in 2007. The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

- LABEL: Gap Coverage on Tier 1
- **DESCRIPTION:** This variable indicates whether or not the Part D plan offers some cost sharing in the coverage gap for this tier.
- SHORT NAME: -
- LONG NAME: GAP\_TIER\_01
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2008.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_TIER\_01 - GAP\_TIER\_07.

In 2011 and later, there were only six tiers (i.e., variable called GAP\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

LABEL: Gap Coverage on Tier 2

**DESCRIPTION:** This variable indicates whether or not the Part D plan offers some cost sharing in the coverage gap for this tier.

SHORT NAME: -

- LONG NAME: GAP\_TIER\_02
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2008.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_TIER\_01 - GAP\_TIER\_07.

In 2011 and later, there were only six tiers (i.e., variable called GAP\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

LABEL: Gap Coverage on Tier 3

**DESCRIPTION:** This variable indicates whether or not the Part D plan offers some cost sharing in the coverage gap for this tier.

SHORT NAME: -

- LONG NAME: GAP\_TIER\_03
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2008.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_TIER\_01 - GAP\_TIER\_07.

In 2011 and later, there were only six tiers (i.e., variable called GAP\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

**LABEL:** Gap Coverage on Tier 4

**DESCRIPTION:** This variable indicates whether or not the Part D plan offers some cost sharing in the coverage gap for this tier.

- SHORT NAME: -
- LONG NAME: GAP\_TIER\_04
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2008.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_TIER\_01 - GAP\_TIER\_07.

In 2011 and later, there were only six tiers (i.e., variable called GAP\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

LABEL: Gap Coverage on Tier 5

**DESCRIPTION:** This variable indicates whether or not the Part D plan offers some cost sharing in the coverage gap for this tier.

SHORT NAME: -

- LONG NAME: GAP\_TIER\_05
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2008.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_TIER\_01 - GAP\_TIER\_07.

In 2011 and later, there were only six tiers (i.e., variable called GAP\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

LABEL: Gap Coverage on Tier 6

**DESCRIPTION:** This variable indicates whether or not the Part D plan offers some cost sharing in the coverage gap for this tier.

- SHORT NAME: -
- LONG NAME: GAP\_TIER\_06
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2008.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_TIER\_01 - GAP\_TIER\_07.

In 2011 and later, there were only six tiers (i.e., variable called GAP\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

LABEL: Gap Coverage on Tier 7

**DESCRIPTION:** This variable indicates whether or not the Part D plan offers some cost sharing in the coverage gap for this tier.

SHORT NAME: -

- LONG NAME: GAP\_TIER\_07
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2008.

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the coverage gap benefit phase. See GAP\_TIER\_01 - GAP\_TIER\_07.

In 2011 and later, there were only six tiers (i.e., variable called GAP\_TIER\_07 was not applicable after 2010).

The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## GAP\_TIER\_DRUG\_TYPE

- LABEL: Gap Drug Type by Tier
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on each formulary tier during the coverage gap phase. A tier may include multiple drug types.
- SHORT NAME: -
- LONG NAME: GAP\_TIER\_DRUG\_TYPE
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs. For example, if the value = 000011 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the coverage gap phase. 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable
- **COMMENT:** This variable was new in 2007. This field is only populated if the plan has an enhanced benefit design with extra coverage in the gap. There is variation in the number of formulary tiers over time. Before 2015, this variable also appeared in the Plan Characteristics Base File (see GAP\_COVERAGE\_TYPE). The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## **GAP\_TIER\_PARTIAL**

- LABEL: Gap Tier Partial
- **DESCRIPTION:** For Part D plans with some cost sharing in the coverage gap phase (i.e., those where the variable GAP\_TIER = Y [yes]), this variable indicates whether or not the the cost sharing applies to a partial list of drugs. If only a limited number of drugs on the tier are covered during the coverage gap phase then the value will be 'Y'. If all drugs on the tier are covered during the gap then the value will be 'N'.

SHORT NAME: -

- **LONG NAME:** GAP\_TIER\_PARTIAL
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2008. This variable applies only to enhanced plans with gap coverage. This variable also appears in the Plan Characteristics Base File (see GAP\_PARTIAL\_TIER\_01 GAP\_PARTIAL\_TIER\_07). The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# GAP\_TIER\_PARTIAL\_TYPE

- LABEL: Partial Gap Drug Type by Tier
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on each formulary tier during the coverage gap phase when the Part D plan only covers a partial list of drugs in the coverage gap (i.e., when the variable GAP\_TIER\_PARTIAL=Y [yes]). A tier may include multiple drug types.
- SHORT NAME:
- LONG NAME: GAP\_TIER\_PARTIAL\_TYPE
- TYPE: CHAR
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs. For example, if the value = 11000000 (1s in the 1st and 2nd digits), then select brand-name drugs and all generics are covered on this tier during the coverage gap phase.
  - 1 in 1st digit = Only Select Brands
  - 1 in 2nd digit = All Generics
  - 1 in 3rd digit = All Preferred Generics
  - 1 in 4th digit = All Non-Preferred Generics
  - 1 in 5th digit = Only Select Generics
  - 1 in 6th digit = All Brands
  - 1 in 7th digit = All Preferred Brands
  - 1 in 8th digit = All Non-Preferred Brands
  - All 9's = Not Applicable
- **COMMENT:** This variable was populated only in 2008- 2010. There is variation in the number of formulary tiers over time. This variable also appears in the Plan Characteristics Base File (see GAP\_PARTIAL\_TYPE\_TIER\_01 GAP\_PARTIAL\_TYPE\_TIER\_07). The coverage gap is technically referred to as the initial coverage limit (ICL) for the Part D benefit. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## ICL\_AMT

- LABEL: Part D Initial Coverage Limit (ICL) Amount
- **DESCRIPTION:** This variable is the dollar amount of Part D Initial Coverage Limit (ICL) applied by Part D plan. If no ICL is applied this field is blank.
- SHORT NAME: -
- LONG NAME: ICL\_AMT
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

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- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The Initial Coverage Limit (ICL) phase of the Part D benefit is also referred to as the coverage gap.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### ICL\_APPLY

LABEL: How Part D Initial Coverage Limit (ICL) is applied

**DESCRIPTION:** This variable indicates whether plan applies the Medicare-defined Part D Initial Coverage Limit (ICL) Amount.

- SHORT NAME: -
- LONG NAME: ICL APPLY
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: 1 = Medicare-defined Amount 2 = Plan-defined Amount 3 = No ICL
- **COMMENT:** The Initial Coverage Limit (ICL) phase of the Part D benefit is also referred to as the coverage gap.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### INCREASED\_ICL

- LABEL: Plan Offers Increased Initial Coverage Limit (ICL) Amount
- **DESCRIPTION:** This variable indicates whether the plan offers reduced cost sharing in the Initial Coverage Limit (ICL) by offering an ICL that is higher than the year's predefined standard ICL amount.
- SHORT NAME: -
- LONG NAME: INCREASED\_ICL
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2011. It applies ONLY to the Enhanced Alternative Plans that offer reduced cost-sharing (i.e., where variable called REDUCED\_COST\_SHARE='Y').

The Initial Coverage Limit (ICL) phase of the Part D benefit is also referred to as the coverage gap.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### LESSER\_OF\_PRICING

LABEL: Lesser of Part D Pricing

**DESCRIPTION:** This variable indicates whether the plan charges the lesser of the cost-share amount or actual drug price.

- SHORT NAME: -
- LONG NAME: LESSER\_OF\_PRICING
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable
- **COMMENT:** This variable was available only in 2007 and 2008.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### LIMITED\_GAP\_BENEFIT

LABEL: Plan Offers Limited benefit above the Initial Coverage Limit (ICL)

**DESCRIPTION:** This variable indicates whether the plan offers limited benefit above ICL. This limited benefit is not considered Gap Coverage).

- SHORT NAME: -
- LONG NAME: LIMITED\_GAP\_BENEFIT
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not applicable X = Unknown
- **COMMENT:** This variable is only available 2008 2010.

The Initial Coverage Limit (ICL) phase of the Part D benefit is also referred to as the coverage gap.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### LIMITED\_GAP\_BENEFIT\_AMT

LABEL:	Limited Gap Coverage Amount
DESCRIPTION:	This variable indicates the dollar amount of the limited gap benefits offered by the Part D plan.
	This limited benefit is not considered Gap Coverage.
SHORT NAME: -	
LONG NAME:	LIMITED_GAP_BENEFIT_AMT
TYPE:	NUM
LENGTH:	8
SOURCE:	CMS (HPMS Files)
VALUES:	-
COMMENT:	This variable is only available 2008 - 2010. The field was changed from 12 digits

characters to 8 in 2009.

The Initial Coverage Limit (ICL) phase of the Part D benefit is also referred to as the coverage gap.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### LIMITED\_GAP\_BENEFIT\_TYPE

- LABEL: Types of Drugs Offered for Limited Gap Coverage
- **DESCRIPTION:** This variable indicates the types of drugs the plan offers as part of the limited benefit above ICL. This limited benefit is not considered Gap Coverage.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are offered through the limited gap benefit.

#### SHORT NAME: -

- LONG NAME: LIMITED\_GAP\_BENEFIT\_TYPE
- TYPE: CHAR
- LENGTH: 7
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string, where each digit is a 1 or 0. For example if the value=000001 (1 in only the 1st digit), then the limited gap coverage applies to all drugs on the formulary.

in 1st digit = All Formulary Drugs
 in 2nd digit = Generic
 in 3rd digit = Preferred Generic
 in 4th digit = Non-Preferred Generic
 in 5th digit = Brand
 in 6th digit = Preferred Brand
 in 7th digit = Non-Preferred Brand

**COMMENT:** This variable is only available 2008 - 2010. The field was widened from 5 characters to 7 in 2009.

The Initial Coverage Limit (ICL) phase of the Part D benefit is also referred to as the coverage gap.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# NAT\_RX\_COV\_YN

- LABEL: Plan Offers Nationwide Prescription Coverage
- **DESCRIPTION:** This variable indicates whether or not beneficiaries enrolled in this Part D plan are able to purchase drugs in all 50 states.
- SHORT NAME: -
- LONG NAME: NAT\_RX\_COV\_YN
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2008.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

## NATIONAL\_PDP

LABEL: National Prescription Drug Plan (PDP) Sponsor

**DESCRIPTION:** Indicates that the Part D plan benefit package is a stand-alone Prescription Drug Plan (PDP) offered by a national Part D sponsor.

SHORT NAME: -

- LONG NAME: NATIONAL\_PDP
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## OOPT\_AMT

- LABEL: Out-of-Pocket (OOP) Threshold Amount
- **DESCRIPTION:** This variable is the dollar amount of the Medicare-defined Part D annual Out-of-Pocket (OOP) Cost Threshold.

This field is blank for Fixed Capitated Reinsurance Demonstration Projects.

- SHORT NAME: -
- LONG NAME: OOPT\_AMT
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

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- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### ORGANIZATION\_MARKETING\_NAME

LABEL: Part D Plan Organization Marketing Name

**DESCRIPTION:** This variable is the name of the Part D plan sponsor's marketing name for the organization.

- SHORT NAME: -
- LONG NAME: ORGANIZATION\_MARKETING\_NAME
- TYPE: CHAR
- **LENGTH:** 150
- SOURCE: CMS (HPMS Files)
- VALUES: text description
- **COMMENT:** Prior to the 2013 data release, CCW was required to encrypt or suppress sensitive data fields, including those which could be used to identify the plan sponsor's contract and plan. Therefore this variable does not appear in legacy data files.

This is the name of the organization that is used for marketing materials. It may be associated with multiple Part D plan contracts (CONTRACT\_ID) and their associated benefit package numbers (PLAN\_ID).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### **ORGANIZATION\_TYPE**

LABEL: Part D Organization Type

**DESCRIPTION:** This variable is the type of organization sponsoring the Part D plan.

SHORT NAME: -

- **LONG NAME:** ORGANIZATION\_TYPE
- TYPE: CHAR
- LENGTH: 2
- **SOURCE:** CMS (HPMS Files)

VALUES:

- 01 = Local coordinated care plan (CCP)
  - 03 = Religious Fraternal Benefit (RFB) private fee-for-service (PFFS) plan
  - 04 = PFFS plan
  - 05 = Demonstration
  - 06 = Section 1876 cost plan
  - 08 = Program of All-inclusive Care for the Elderly (PACE)
  - 10 = Stand-alone prescription drug plan (PDP)
  - 11 = Regional CCP
  - 13 = Employer/union-only direct contract PDP
  - 14 = Employer/union-only direct contract PFFS plan
  - 16 = Point-of-sale contract; used for LINET Limited Income Newly Eligible Transition program – starting in 2010

99 or Null = Unknown

**COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### OTC\_UM\_PROGRAM

- LABEL: Plan Covers Over-the-Counter medications (OTCs) under the Utilization Management Program
- **DESCRIPTION:** This variable indicates whether or not the Part D plan pays for Over-the-Counter medications (OTCs) under its Utilization Management (UM) Program.
- SHORT NAME: -
- LONG NAME: OTC\_UM\_PROGRAM
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2008.

Plans that elect to cover OTC drugs as part of general drug utilization management or part of a step therapy protocol have identified the applicable drugs and they appear in the OTC Drug file (which is delivered as part of the Formulary File, starting in 2010). When OTC\_UM\_PROGRAM='Y' then the over-the-counter drugs that appear in the OTC Drug File are allowed by the plan.

### PARENT\_ORGANIZATION

LABEL: Part D Plan Parent Organization Name

**DESCRIPTION:** This variable is the name of the parent organization for the Part D plan, if applicable.

SHORT NAME: -

- LONG NAME: PARENT\_ORGANIZATION
- TYPE: CHAR
- LENGTH: 50
- SOURCE: CMS (HPMS Files)
- VALUES: text description
- **COMMENT:** This is the name of the parent organization associated with the Part D plan contract (CONTRACT\_ID) and benefit package number (PLAN\_ID). It was populated in data files 2012 and later.

Prior to the 2013 data release, CCW was required to encrypt or suppress sensitive data fields, including those which could be used to identify the plan sponsor's contract and plan. Therefore this variable does not appear in legacy data files.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PART\_B\_PREMIUM\_REDUCTION\_AMT

- LABEL: Part B Premium Reduction Amount
- **DESCRIPTION:** This variable is the dollar amount of Part D rebate attributed to Part B premium reduction.
- SHORT NAME: -
- LONG NAME: PART\_B\_PREMIUM\_REDUCTION\_AMT
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PART\_C\_PREMIUM

LABEL: Part C Premium

**DESCRIPTION:** This variable is the dollar amount of the Medicare Advantage (referred to as Medicare Part C) Basic Plus Mandatory Supplemental Premium Rate (Net of Rebates).

SHORT NAME: -

- LONG NAME: PART\_C\_PREMIUM
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

VALUES:

**COMMENT:** The width of this value changed from 12 to 8 in 2009.

The Part C premium for Medicare Advantage Plans, Cost Plans, and Demonstrations covers Medicare medical and hospital benefits, and supplemental benefits, where offered. Beneficiaries generally are also responsible for the Part B premium.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

- **LABEL:** Part D Low Income Premium Subsidy 25%
- **DESCRIPTION:** This variable is the dollar amount of the monthly Part D low-income total premium subsidy (LIPS) for beneficiaries at the 25% subsidized level.

#### SHORT NAME: -

- **LONG NAME:** PART\_D\_LIPS\_25
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

- **LABEL:** Part D Low Income Premium Subsidy 50%
- **DESCRIPTION:** This variable is the dollar amount of the monthly Part D low-income total premium subsidy (LIPS) for beneficiaries at the 50% subsidized level.

#### SHORT NAME: -

- **LONG NAME:** PART\_D\_LIPS\_50
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

**LABEL:** Part D Low Income Premium Subsidy 75%

**DESCRIPTION:** This variable is the dollar amount of the monthly Part D low-income total premium subsidy (LIPS) for beneficiaries at the 75% subsidized level.

#### SHORT NAME: -

- LONG NAME: PART\_D\_LIPS\_75
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

- LABEL: Part D Low Income Premium Subsidy 100%
- **DESCRIPTION:** This variable is the dollar amount of the monthly Part D low-income total premium subsidy (LIPS) for beneficiaries at the 100% subsidized level.

#### SHORT NAME: -

- LONG NAME: PART\_D\_LIPS\_100
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

- LABEL: Part D Low Income Subsidy 25%
- **DESCRIPTION:** This variable is the dollar amount of the Part D low-income subsidy (LIS) where the subsidy level is 25%.

SHORT NAME: -

- LONG NAME: PART\_D\_LIS\_25
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PART\_D\_LIS\_50

- LABEL: Part D Low Income Subsidy 50%
- **DESCRIPTION:** This variable is the dollar amount of the Part D low-income subsidy (LIS) where the subsidy level is 50%.

SHORT NAME: -

- LONG NAME: PART\_D\_LIS\_50
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PART\_D\_LIS\_75

- LABEL: Part D Low Income Subsidy 75%
- **DESCRIPTION:** This variable is the dollar amount of the Part D low-income subsidy (LIS) where the subsidy level is 75%.

SHORT NAME: -

- **LONG NAME:** PART\_D\_LIS\_75
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PART\_D\_LIS\_100

- LABEL: Part D Low Income Subsidy 100%
- **DESCRIPTION:** This variable is the dollar amount of the Part D low-income subsidy (LIS) where the subsidy level is 100%.

SHORT NAME: -

- LONG NAME: PART\_D\_LIS\_100
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PARTIAL\_FLAG

- LABEL: Part D Segment Partial County Indicator
- **DESCRIPTION:** Indicates that the Part D plan benefit package (segment) covers only a portion of the county.

SHORT NAME: -

- LONG NAME: PARTIAL\_FLAG
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Blank = Plan benefit package segment covers the whole county or does not vary by segment.
  - Y = Plan benefit package segment covers only a portion of the county.
- **COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

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## PLAN\_BASIC\_PREMIUM\_NET\_REBATE

- LABEL: Part D Basic Premium Net of Rebate
- **DESCRIPTION:** This variable is the dollar amount of the Part D Basic Premium. This amount is net of any Part A/B rebates applied to "buy down" the drug premium for Medicare Advantage plans.
- SHORT NAME: -
- LONG NAME: PLAN\_BASIC\_PREMIUM\_NET\_REBATE
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PLAN\_ID

- LABEL: Part D Plan Benefit Package Identifier
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS.

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- LONG NAME: PLAN\_ID
- TYPE: CHAR
- LENGTH: 3
- SOURCE: CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## PLAN\_ID\_06 (previous year)

- LABEL: Part D Plan Benefit Package Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2006. It may or may not be the same as the Plan ID in the reference year (2007).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_06
- TYPE: CHAR
- LENGTH: 3
- SOURCE: CMS (HPMS Files)
- **VALUES:** 3-digit numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_06) to the PLAN\_ID\_07 (and CONTRACT\_ID\_07).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### PLAN\_ID\_07 (previous year)

- LABEL: Part D Plan Benefit Package Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2007. It may or may not be the same as the Plan ID in the reference year (2008).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_07
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_07) to the PLAN\_ID\_08 (and CONTRACT\_ID\_08).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PLAN\_ID\_07 (reference year)

- LABEL: Part D Plan Benefit Package Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2007 (the reference year). It may or may not be the same as the Plan ID in the prior year (2006).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_07
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2007 appear in this data file. To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_07) to the PLAN\_ID\_06 (and CONTRACT\_ID\_06).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### PLAN\_ID\_08 (previous year)

- LABEL: Part D Plan Benefit Package Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2008. It may or may not be the same as the Plan ID in the reference year (2009).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_08
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_08) to the PLAN\_ID\_09 (and CONTRACT\_ID\_09).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## PLAN\_ID\_08 (reference year)

- LABEL: Part D Plan Benefit Package Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2008 (the reference year). It may or may not be the same as the Plan ID in the prior year (2007).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_08
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2008 appear in this data file. To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_08) to the PLAN\_ID\_07 (and CONTRACT\_ID\_07).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### PLAN\_ID\_09 (previous year)

- LABEL: Part D Plan Benefit Package Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2009. It may or may not be the same as the Plan ID in the reference year (2010).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_09
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_09) to the PLAN\_ID\_10 (and CONTRACT\_ID\_10).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## PLAN\_ID\_09 (reference year)

- LABEL: Part D Plan Benefit Package Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2009 (the reference year). It may or may not be the same as the Plan ID in the prior year (2008).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_09
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2009 appear in this data file. To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_09) to the PLAN\_ID\_08 (and CONTRACT\_ID\_08).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## PLAN\_ID\_10 (previous year)

- LABEL: Part D Plan Benefit Package Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2010. It may or may not be the same as the Plan ID in the reference year (2011).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_10
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_10) to the PLAN\_ID\_11 (and CONTRACT\_ID\_11).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PLAN\_ID\_10 (reference year)

- LABEL: Part D Plan Benefit Package Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2010 (the reference year). It may or may not be the same as the Plan ID in the prior year (2009).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_10
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2010 appear in this data file. To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_10) to the PLAN\_ID\_09 (and CONTRACT\_ID\_09).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## PLAN\_ID\_11 (previous year)

- LABEL: Part D Plan Benefit Package Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2011. It may or may not be the same as the Plan ID in the reference year (2012).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_11
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_11) to the PLAN\_ID\_12 (and CONTRACT\_ID\_12).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PLAN\_ID\_11 (reference year)

- LABEL: Part D Plan Benefit Package Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2011 (the reference year). It may or may not be the same as the Plan ID in the prior year (2010).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- LONG NAME: PLAN\_ID\_11
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2011 appear in this data file. To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_11) to the PLAN\_ID\_10 (and CONTRACT\_ID\_10).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## PLAN\_ID\_12 (previous year)

- LABEL: Part D Plan Benefit Package Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2012. It may or may not be the same as the Plan ID in the reference year (2013).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_12
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_12) to the PLAN\_ID\_13 (and CONTRACT\_ID\_13).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PLAN\_ID\_12 (reference year)

- LABEL: Part D Plan Benefit Package Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2012 (the reference year). It may or may not be the same as the Plan ID in the prior year (2011).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_12
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2012 appear in this data file. To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_12) to the PLAN\_ID\_11 (and CONTRACT\_ID\_11).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## PLAN\_ID\_13 (previous year)

- LABEL: Part D Plan Benefit Package Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2013. It may or may not be the same as the Plan ID in the reference year (2014).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_13
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_13) to the PLAN\_ID\_14 (and CONTRACT\_ID\_14).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PLAN\_ID\_13 (reference year)

- LABEL: Part D Plan Benefit Package Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2013 (the reference year). It may or may not be the same as the Plan ID in the prior year (2012).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_13
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2013 appear in this data file. To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_13) to the PLAN\_ID\_12 (and CONTRACT\_ID\_12).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## PLAN\_ID\_14 (previous year)

- LABEL: Part D Plan Benefit Package Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2014. It may or may not be the same as the Plan ID in the reference year (2015).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_14
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_14) to the PLAN\_ID\_15 (and CONTRACT\_ID\_15).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PLAN\_ID\_14 (reference year)

- LABEL: Part D Plan Benefit Package Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2014 (the reference year). It may or may not be the same as the Plan ID in the prior year (2013).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_14
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2014 appear in this data file. To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_14) to the PLAN\_ID\_13 (and CONTRACT\_ID\_13).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## PLAN\_ID\_15 (previous year)

- LABEL: Part D Plan Benefit Package Identifier for Previous Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2015. It may or may not be the same as the Plan ID in the reference year (2016).

This field is a key that links of Part D sponsor's contract and plan identifiers.

### SHORT NAME: -

- LONG NAME: PLAN\_ID\_15
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_15) to the PLAN\_ID\_16 (and CONTRACT\_ID\_16).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PLAN\_ID\_15 (reference year)

- LABEL: Part D Plan Benefit Package Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2015 (the reference year). It may or may not be the same as the Plan ID in the prior year (2014).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- LONG NAME: PLAN\_ID\_15
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2015 appear in this data file. To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_15) to the PLAN\_ID\_14 (and CONTRACT\_ID\_14).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PLAN\_ID\_16 (reference year)

- LABEL: Part D Plan Benefit Package Identifier for Reference Year
- **DESCRIPTION:** This variable is the unique plan benefit package (PBP) number for the Part D plan sponsor's contract. CMS assigns an identifier to each PBP within a contract that a Part D plan sponsor has with CMS. This was the Plan ID for the plan in 2016 (the reference year). It may or may not be the same as the Plan ID in the prior year (2015).

This field is a key that links of Part D sponsor's contract and plan identifiers.

#### SHORT NAME: -

- LONG NAME: PLAN\_ID\_16
- TYPE: CHAR
- LENGTH: 3
- **SOURCE:** CMS (HPMS Files)
- VALUES: 3-digit numeric value
- **COMMENT:** All Contract and Plan ID that were active in 2016 appear in this data file. To determine whether the Contract and Plan IDs in the data file for the reference year (year of the data file) represent the same plan in the prior year, compare this variable (along with the corresponding CONTRACT\_ID\_16) to the PLAN\_ID\_15 (and CONTRACT\_ID\_15).

Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number (variable called CONTRACT\_ID\_YY) and plan benefit package identifier in order to identify the specific plan benefit package offered to beneficiaries.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### PLAN\_NAME

- LABEL: Part D Plan Benefit Package Name
- **DESCRIPTION:** This variable is the name of the plan benefit package (PBP) for the Part D plan sponsor's contract.

SHORT NAME: -

- LONG NAME: PLAN\_NAME
- TYPE: CHAR
- **LENGTH:** 75
- SOURCE: CMS (HPMS Files)
- VALUES: text description
- **COMMENT:** Prior to the 2013 data release, CCW was required to encrypt or suppress sensitive data fields, including those which could be used to identify the plan sponsor's contract and plan. Therefore this variable does not appear in legacy data files.

This is the name associated with the Part D plan benefit package number (PLAN\_ID).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## PLAN\_SUPP\_PREMIUM\_NET\_REBATE

- LABEL: Part D Supplemental Premium Net of Rebate
- **DESCRIPTION:** This variable is the dollar amount of the Part D Premium Enhanced (supplemental) Rate. This amount is net of any Part A/B rebates applied to "buy down" the drug premium for Medicare Advantage plans.
- SHORT NAME: -
- LONG NAME: PLAN\_SUPP\_PREMIUM\_NET\_REBATE
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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VALUES:

**COMMENT:** The width of this value changed from 12 to 8 in 2009.

The Part D Supplemental Premium covers any enhanced benefits that may be offered by a plan above and beyond the basic (standard) Part D benefit. These benefits may include extra coverage in the coverage gap, lower copayments than the standard benefit, coverage of non-Part D drugs (e.g. benzodiazepines prior to 2013), etc.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PLAN\_TOTAL\_PREMIUM\_NET\_REBATE

- LABEL: Part D Total Premium Net of Rebate
- **DESCRIPTION:** This variable is the dollar amount of the Part D Total Premium (basic + supplemental) Rate (Net of Rebates).

The Part D Total Premium is the sum of the Basic and Supplemental Premiums (variables called PLAN\_BASIC\_PREMIUM\_NET\_REBATE and PLAN\_SUPP\_PREMIUM\_NET\_REBATE). This amount is net of any Part A/B rebates applied to "buy down" the drug premium for Medicare Advantage plans; for some plans the total premium may be lower than the sum of the basic and supplemental premiums due to negative basic or supplemental premiums.

#### SHORT NAME: -

LONG NAME:	PLAN_TOTAL_PREMIUM_NET_REBATE
TYPE:	NUM
LENGTH:	8
SOURCE:	CMS (HPMS Files)
VALUES:	-
COMMENT:	The width of this value changed from 12 to 8 in 2009.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

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## PLAN\_TYPE

LABEL: Part D Plan Type

**DESCRIPTION:** This variable indicates the type of Part D plan offered by the plan sponsor.

- SHORT NAME: -
- LONG NAME: PLAN\_TYPE
- TYPE: CHAR
- LENGTH:
- **SOURCE:** CMS (HPMS Files)

2

VALUES: 01 = Health Maintenance Organization (HMO)

- 02 = Health Maintenance Organization Point-of-Service (HMO POS)
- 04 = Local Preferred Provider Organization (PPO)
- 05 = PSO (State License)
- 06 = PSO (Federal Waiver of State License)
- 07 = Medical Savings Account (MSA)
- 08 = Religious Fraternal Benefit (RFB) private fee-for-service (PFFS) plan
- 09 = PFFS
- 10 = SHMO
- 18 = 1876 Cost
- 19 = HCPP 1833 Cost
- 20 = National Program of All-inclusive Care for the Elderly (PACE)
- 28 = Chronic Care
- 29 = Medicare Prescription Drug Plan (PDP)
- 30 = Employer/Union Only Direct Contract PDP
- 31 = Regional PPO
- 32 = Fallback
- 33 = MN Disability Health Options
- 34 = MN Senior Health Options
- 35 = WI Partnership Program
- 36 = MA Health Senior Care Options
- 37 = Continuing Care Retirement Community
- 38 = ESRD I
- 39 = ESRD II
- 40 = Employer/Union Only Direct Contract PFFS
- 41 = MSA Demo
- 42 = RFB HMO
- 43 = RFB HMOPOS
- 44 = RFB Local PPO
- 45 = RFB PSO (State License)
- 46 = Limited Income Newly Eligible Transition Program (LINET)
- 48 = Medicare-Medicaid Plan, Health Maintenance Organization (MMP HMO)
- 49 = Medicare-Medicaid Plan, Health Maintenance Organization Point-of-Service (MMP

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### HMOPOS) Null = Unknown

**COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# POST\_OOPT\_APPLY

- LABEL: How Post Out-of-Pocket (OOP) Threshold Cost-Sharing is applied
- **DESCRIPTION:** This variable Indicates how the plan applies beneficiary cost-sharing once the beneficiary has reached the Medicare-defined Part D annual Out-of-Pocket (OOP) Cost Threshold (i.e., in catastrophic coverage phase).
- SHORT NAME: -
- LONG NAME: POST\_OOPT\_APPLY
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: 1 = Medicare-Defined Post Threshold Cost Sharing 2 = Cost Share Tiers 3 = No Cost Sharing
- **COMMENT:** For Fixed Capitated Reinsurance Demonstration Projects, this variable captures how cost sharing is applied after the Medicare-defined total drug spending amount.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# POST\_OOPT\_COINS\_PCT

- LABEL: Post-Out-of-Pocket Threshold Coinsurance Percentage
- **DESCRIPTION:** This variable identifies the co-insurance percentage that drugs on the tier are subject to during the post out-of-pocket threshold (catastrophic) phase of the Part D benefit.

SHORT NAME: -

- LONG NAME: POST\_OOPT\_COINS\_PCT
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2007. This field is only populated if the Medicare-defined Post Threshold Cost Shares do not apply. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (POST\_OOPT\_COPAY\_AMT). The catastrophic coverage phase is also referred to as the post-out-of-pocket threshold phase for the Part D benefit. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# POST\_OOPT\_COPAY\_AMT

- LABEL: Post-Out-of-Pocket Threshold Copay
- **DESCRIPTION:** This variable identifies the beneficiary copay amount that drugs on the tier are subject to during the post out-of-pocket threshold (catastrophic) phase of the Part D benefit.

SHORT NAME: -

LONG NAME: POST\_OOPT\_COPAY\_AMT

- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

VALUES:

**COMMENT:** This variable was new in 2007. This field is only populated if the Medicare-defined Post Threshold Cost Shares do not apply. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (POST\_OOPT\_COINS\_AMT). The catastrophic coverage phase is also referred to as the post-out-of-pocket threshold phase for the Part D benefit. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# POST\_OOPT\_COSTSHARE\_TYPE

- LABEL: Post-Out-of-Pocket Threshold Cost Share Type by Tier
- **DESCRIPTION:** This variable identifies the type of cost sharing that drugs on the tier are subject to for each formulary tier during the post-out-of-pocket threshold phase (also known as the catastrophic coverage phase). Cost-sharing may include copayment, co- insurance, or the greater/lesser of the two.
- SHORT NAME: -
- LONG NAME: POST\_OOPT\_COSTSHARE\_TYPE
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- **VALUES:** 1 = Coinsurance
  - 2 = Copayment
  - 3 = Greater of Coinsurance and Copayment
  - 4 = Lesser of Coinsurance and Copayment
- **COMMENT:** This variable was new in 2007. This field is only populated if the Medicare-defined Post Threshold Cost Shares do not apply. The catastrophic coverage phase is also referred to as the post-out-of-pocket threshold phase for the Part D benefit. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

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# POST\_OOPT\_TIER\_DRUG\_TYPE

- LABEL: Post-Out-of-Pocket Threshold Drug Type by Tier
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on each formulary tier during the post-out-of-pocket threshold phase (also known as the catastrophic coverage phase). A tier may include multiple drug types.
- SHORT NAME: -
- LONG NAME: POST\_OOPT\_TIER\_DRUG\_TYPE
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)

VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs. For example, if the value = 000011 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the coverage gap phase. 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable In 2006, the variable was a 3 character string with values as follows: 001 = Brand 010 = Generic011 = Generic and Brand 100 = Preferred Brand 101 = Brand and Preferred Brand 110 = Generic and Preferred Brand 111 = Generic, Brand, and Preferred Brand 999 = Not Applicable

COMMENT: This field is only populated if the Medicare-defined Post Threshold Cost Shares do not apply. There is variation in the number of formulary tiers over time. The catastrophic coverage phase is also referred to as the post-out-of-pocket threshold phase for the Part D benefit. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

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### PRE\_ICL\_APPLY

- **LABEL:** How Part D Pre-ICL Cost-Sharing is applied
- **DESCRIPTION:** This variable indicates how the plan charges cost-sharing before the Initial Coverage Limit (ICL) is reached.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_APPLY
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)

### VALUES: 1 = Medicare-Defined Part D Coinsurance Amount 2 = Cost Share Tiers 3 = No Cost Sharing

**COMMENT:** The Initial Coverage Limit (ICL) phase of the Part D benefit is also referred to as the coverage gap.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_COSTSHARE\_TYPE

- LABEL: Pre-ICL Cost Share Type
- **DESCRIPTION:** Indicates the type of cost sharing that drugs on the tier are subject to in the during the pre-initial coverage limit (ICL) phase, which may include copayment, co-insurance, or the greater/lesser of the two.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_COSTSHARE\_TYPE
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- **VALUES:** 1 = Coinsurance
  - 2 = Copayment
  - 3 = Greater of Coinsurance and Copayment
  - 4 = Lesser of Coinsurance and Copayment
- **COMMENT:** This variable was new in 2007. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### PRE\_ICL\_DAYS\_INNPP\_1M

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using an in-network non-preferred pharmacy (INNP; also known as a standard retail pharmacy within a *split network* P) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_DAYS\_INNPP\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_INNPP\_2M

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_DAYS\_INNPP\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_INNPP\_3M

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_DAYS\_INNPP\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_DAYS\_INNPP\_OS

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using an in-network non-preferred pharmacy (INNPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_INNPP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2006 2012. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_INP\_1M

- LABEL: Pre-ICL In-Network Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_INP\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_INP\_2M

- LABEL: Pre-ICL In-Network Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_INP\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_INP\_3M

- LABEL: Pre-ICL In-Network Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_INP\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_INP\_OS

- **LABEL:** Pre-ICL In-Network Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using an in-network pharmacy (INP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_INP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_INPP\_1M

- LABEL: Pre-ICL In-Network Preferred Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_DAYS\_INPP\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_INPP\_2M

- LABEL: Pre-ICL In-Network Preferred Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_INPP\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_INPP\_3M

- LABEL: Pre-ICL In-Network Preferred Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_DAYS\_INPP\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_INPP\_OS

- **LABEL:** Pre-ICL In-Network Preferred Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using an in-network preferred pharmacy (INPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_INPP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2006 2012. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_LTCP\_1M

- LABEL: Pre-ICL Long Term Care Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using a long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- **LONG NAME:** PRE\_ICL\_DAYS\_LTCP\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated 2008-2012 and 2014+.The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_DAYS\_LTCP\_B\_1M

- LABEL: Pre-ICL Long Term Care Pharmacy days in 1 month supply of brand name drugs
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of brand name drugs on this tier, when using a long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- **LONG NAME:** PRE\_ICL\_DAYS\_LTCP\_B\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. Plans that may offer a different days supply for generic drugs on this tier; see the corresponding LTCP generic drug variable called (PRE\_ICL\_DAYS\_LTCP\_G\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_LTCP\_B\_OS

- LABEL: Pre-ICL Long Term Care Pharmacy days in other day supply of brand name drugs
- **DESCRIPTION**: This variable identifies the number of days in an "other" days supply of the brand name drugs (other than 1 month) on this tier, when using a long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_LTCP\_B\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. Plans that may offer a different days supply for generic drugs on this tier; see the corresponding LTCP generic drug variable called (PRE\_ICL\_DAYS\_LTCP\_G\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_DAYS\_LTCP\_G\_1M

- LABEL: Pre-ICL Long Term Care Pharmacy days in 1 month supply of generic drugs
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of generic drugs on this tier, when using a long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- **LONG NAME:** PRE\_ICL\_DAYS\_LTCP\_G\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. Plans that may offer a different days supply for brand name drugs on this tier; see the corresponding LTCP brand name drug variable called (PRE\_ICL\_DAYS\_LTCP\_B\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_LTCP\_G\_OS

- LABEL: Pre-ICL Long Term Care Pharmacy days in other day supply of generic drugs
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the generic drugs (other than 1 month) on this tier, when using a long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- **LONG NAME:** PRE\_ICL\_DAYS\_LTCP\_G\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. Plans that may offer a different days supply for brand name drugs on this tier; see the corresponding LTCP brand name drug variable called (PRE\_ICL\_DAYS\_LTCP\_B\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_MO\_1M

- **LABEL:** Pre-ICL Mail Order Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using a mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MO\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_MO\_2M

- LABEL: Pre-ICL Mail Order Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MO\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_MO\_3M

- LABEL: Pre-ICL Mail Order Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MO\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_MO\_OS

- **LABEL:** Pre-ICL Mail Order Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using a mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MO\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2006 2012. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_MONPP\_1M

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using a mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MONPP\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_MONPP\_2M

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using a mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MONPP\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_MONPP\_3M

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using a mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MONPP\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_MONPP\_OS

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using a mail order non-preferred pharmacy (MONPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MONPP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_MOPP\_1M

- **LABEL:** Pre-ICL Mail Order Preferred Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using a mail order preferred pharmacy (MOPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MOPP\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_MOPP\_2M

- **LABEL:** Pre-ICL Mail Order Preferred Pharmacy days in 2 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 2 month supply of a drug on this tier, when using a mail order preferred pharmacy (MOPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MOPP\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2013. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_DAYS\_MOPP\_3M

- **LABEL:** Pre-ICL Mail Order Preferred Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using a mail order preferred pharmacy (MOPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MOPP\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_MOPP\_OS

- **LABEL:** Pre-ICL Mail Order Preferred Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using a mail order preferred pharmacy (MOPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_MOPP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007 2012. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_OONP\_1M

- LABEL: Pre-ICL Out-of-Network Pharmacy days in 1 month supply
- **DESCRIPTION:** This variable identifies the number of days in a one month supply of a drug on this tier, when using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_OONP\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DAYS\_OONP\_3M

- LABEL: Pre-ICL Out-of-Network Pharmacy days in 3 month supply
- **DESCRIPTION:** This variable identifies the number of days in a 3 month supply of a drug on this tier, when using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_OONP\_3M
- TYPE: NUM
- LENGTH: 5
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2006 2007. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_DAYS\_OONP\_OS

- LABEL: Pre-ICL Out-of-Network Pharmacy days in other day supply
- **DESCRIPTION:** This variable identifies the number of days in an "other" days supply of the drugs (other than 1 or 3 months) on this tier, when using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_DAYS\_OONP\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_DRUG\_TYPE\_TIER\_01

- LABEL: Pre-ICL Drug Type for Tier 1
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on the 1st formulary tier during the pre-initial coverage limit (ICL) phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

### SHORT NAME: -

- **LONG NAME:** PRE\_ICL\_DRUG\_TYPE\_TIER\_01
- TYPE: CHAR
- LENGTH: 6
- SOURCE: CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the pre-ICL phase.

1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable

In 2006, the variable was a 3 character string with values as follows: 001 = Brand 010 = Generic 011 = Generic and Brand 100 - Decomposition

100 = Preferred Brand

- 101 = Brand and Preferred Brand
- 110 = Generic and Preferred Brand
- 111 = Generic, Brand, and Preferred Brand

999 = Not Applicable

**COMMENT:** There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See PRE\_ICL\_DRUG\_TYPE\_TIER\_01 - PRE\_ICL\_DRUG\_TYPE\_TIER\_09.

CMS Chronic Conditions Data Warehouse (CCW) – Codebook Medicare Part D Plan Characteristics File Version 1.2 (January 2018) In 2006 there were eight tiers (i.e. variable called PRE\_ICL\_DRUG\_TYPE\_TIER\_09 did not apply). During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_DRUG\_TYPE\_TIER\_08 and PRE\_ICL\_DRUG\_TYPE\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_DRUG\_TYPE\_TIER\_07 was not applicable after 2010).

This variable was only 3 characters wide in 2006.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL Drug Type for Tier 2
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on the 2nd formulary tier during the pre-initial coverage limit (ICL) phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

- LONG NAME: PRE\_ICL\_DRUG\_TYPE\_TIER\_02
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the pre-ICL phase.
  - 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable
  - In 2006, the variable was a 3 character string with values as follows:
  - 001 = Brand
  - 010 = Generic
  - 011 = Generic and Brand
  - 100 = Preferred Brand
  - 101 = Brand and Preferred Brand
  - 110 = Generic and Preferred Brand
  - 111 = Generic, Brand, and Preferred Brand
  - 999 = Not Applicable
- **COMMENT:** There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See PRE\_ICL\_DRUG\_TYPE\_TIER\_01 PRE\_ICL\_DRUG\_TYPE\_TIER\_09.

This variable was only 3 characters wide in 2006.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL Drug Type for Tier 3
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on the 3<sup>rd</sup> formulary tier during the pre-initial coverage limit (ICL) phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

- LONG NAME: PRE\_ICL\_DRUG\_TYPE\_TIER\_03
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the pre-ICL phase.
  - 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable
  - In 2006, the variable was a 3 character string with values as follows:
  - 001 = Brand
  - 010 = Generic
  - 011 = Generic and Brand
  - 100 = Preferred Brand
  - 101 = Brand and Preferred Brand
  - 110 = Generic and Preferred Brand
  - 111 = Generic, Brand, and Preferred Brand
  - 999 = Not Applicable
- **COMMENT:** There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See PRE\_ICL\_DRUG\_TYPE\_TIER\_01 PRE\_ICL\_DRUG\_TYPE\_TIER\_09.

This variable was only 3 characters wide in 2006.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL Drug Type for Tier 4
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on the 4<sup>th</sup> formulary tier during the pre-initial coverage limit (ICL) phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

- LONG NAME: PRE\_ICL\_DRUG\_TYPE\_TIER\_04
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the pre-ICL phase.
  - 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable
  - In 2006, the variable was a 3 character string with values as follows:
  - 001 = Brand
  - 010 = Generic
  - 011 = Generic and Brand
  - 100 = Preferred Brand
  - 101 = Brand and Preferred Brand
  - 110 = Generic and Preferred Brand
  - 111 = Generic, Brand, and Preferred Brand
  - 999 = Not Applicable
- **COMMENT:** There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See PRE\_ICL\_DRUG\_TYPE\_TIER\_01 PRE\_ICL\_DRUG\_TYPE\_TIER\_09.

This variable was only 3 characters wide in 2006.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL Drug Type for Tier 5
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on the 5<sup>th</sup> formulary tier during the pre-initial coverage limit (ICL) phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

- LONG NAME: PRE\_ICL\_DRUG\_TYPE\_TIER\_05
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the pre-ICL phase.
  - 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable
  - In 2006, the variable was a 3 character string with values as follows:
  - 001 = Brand
  - 010 = Generic
  - 011 = Generic and Brand
  - 100 = Preferred Brand
  - 101 = Brand and Preferred Brand
  - 110 = Generic and Preferred Brand
  - 111 = Generic, Brand, and Preferred Brand
  - 999 = Not Applicable
- **COMMENT:** There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See PRE\_ICL\_DRUG\_TYPE\_TIER\_01 PRE\_ICL\_DRUG\_TYPE\_TIER\_09.

This variable was only 3 characters wide in 2006.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL Drug Type for Tier 6
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on the 6<sup>th</sup> formulary tier during the pre-initial coverage limit (ICL) phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

- LONG NAME: PRE\_ICL\_DRUG\_TYPE\_TIER\_06
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the pre-ICL phase.
  - 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable
  - In 2006, the variable was a 3 character string with values as follows:
  - 001 = Brand
  - 010 = Generic
  - 011 = Generic and Brand
  - 100 = Preferred Brand
  - 101 = Brand and Preferred Brand
  - 110 = Generic and Preferred Brand
  - 111 = Generic, Brand, and Preferred Brand
  - 999 = Not Applicable
- **COMMENT:** There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See PRE\_ICL\_DRUG\_TYPE\_TIER\_01 PRE\_ICL\_DRUG\_TYPE\_TIER\_09.

This variable was only 3 characters wide in 2006.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL Drug Type for Tier 7
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on the 7<sup>th</sup> formulary tier during the pre-initial coverage limit (ICL) phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

- LONG NAME: PRE\_ICL\_DRUG\_TYPE\_TIER\_07
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the pre-ICL phase.
  - 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable
  - In 2006, the variable was a 3 character string with values as follows:
  - 001 = Brand
  - 010 = Generic
  - 011 = Generic and Brand
  - 100 = Preferred Brand
  - 101 = Brand and Preferred Brand
  - 110 = Generic and Preferred Brand
  - 111 = Generic, Brand, and Preferred Brand
  - 999 = Not Applicable
- **COMMENT:** There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See PRE\_ICL\_DRUG\_TYPE\_TIER\_01 PRE\_ICL\_DRUG\_TYPE\_TIER\_09.

This variable was only 3 characters wide in 2006.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL Drug Type for Tier 8
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on the 8<sup>th</sup> formulary tier during the pre-initial coverage limit (ICL) phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

- LONG NAME: PRE\_ICL\_DRUG\_TYPE\_TIER\_08
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the pre-ICL phase.
  - 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable
  - In 2006, the variable was a 3 character string with values as follows:
  - 001 = Brand
  - 010 = Generic
  - 011 = Generic and Brand
  - 100 = Preferred Brand
  - 101 = Brand and Preferred Brand
  - 110 = Generic and Preferred Brand
  - 111 = Generic, Brand, and Preferred Brand
  - 999 = Not Applicable
- **COMMENT:** There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See PRE\_ICL\_DRUG\_TYPE\_TIER\_01 PRE\_ICL\_DRUG\_TYPE\_TIER\_09.

This variable was only 3 characters wide in 2006.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL Drug Type for Tier 9
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on the 9<sup>th</sup> formulary tier during the pre-initial coverage limit (ICL) phase.

The value contains a string of binary digits; each digit of the value indicates which types of drugs are covered on this tier of the formulary.

A tier may include multiple drug types.

- LONG NAME: PRE\_ICL\_DRUG\_TYPE\_TIER\_09
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)
- VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs; position 1 is the leftmost digit. For example, if the value = 110000 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the pre-ICL phase.
  - 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable
  - In 2006, the variable was a 3 character string with values as follows:
  - 001 = Brand
  - 010 = Generic
  - 011 = Generic and Brand
  - 100 = Preferred Brand
  - 101 = Brand and Preferred Brand
  - 110 = Generic and Preferred Brand
  - 111 = Generic, Brand, and Preferred Brand
  - 999 = Not Applicable
- **COMMENT:** There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. See PRE\_ICL\_DRUG\_TYPE\_TIER\_01 PRE\_ICL\_DRUG\_TYPE\_TIER\_09.

This variable was only 3 characters wide in 2006.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_INNPP\_COINS\_1M

LABEL: Pre-ICL In-Network Non-Preferred Pharmacy Coinsurance for 1 month supply

- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network non-preferred preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INNPP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INNPP\_COPAY\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

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# PRE\_ICL\_INNPP\_COINS\_2M

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy Coinsurance for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using an in-network non-preferred preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INNPP\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INNPP\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

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# PRE\_ICL\_INNPP\_COINS\_3M

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using an in-network non-preferred preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INNPP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INNPP\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_INNPP\_COINS\_AVG\_1M

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy Average Expected Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the average expected cost-sharing amount in dollars for beneficiary co-insurance for a 1 month supply of the drugs on this tier, using an innetwork non-preferred preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INNPP\_COINS\_AVG\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. CMS instructed plans to calculate this amount by including the average expected cost-sharing amount in dollars that a beneficiary would be expected to pay at a network retail pharmacy for a one month supply of drugs. This estimate should be based on available plan data (such as the most current 6 months of PDE data) for retail pharmacies only. Neither retail extended day supply nor mail order location data should be included in the estimate. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field.

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INNPP\_COINS\_OS

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network non-preferred preferred pharmacy (INNPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INNPP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was only populated from 2007-2012. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INNPP\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INNPP\_COPAY\_1M

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 1 month supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INNPP\_COPAY\_1M
- TYPE: NUM

LENGTH: 8

SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INNPP\_COINS\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INNPP\_COPAY\_2M

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 2 month supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INNPP\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INNPP\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INNPP\_COPAY\_3M

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 3 month supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INNPP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INNPP\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

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# PRE\_ICL\_INNPP\_COPAY\_DAILY

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy Copay for one day supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a one day supply of the drugs on this tier, using an in-network non-preferred pharmacy (INNPP; also known as a standard retail pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INNPP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The INNPP are currently referred to as standard retail pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INNPP\_COPAY\_OS

- LABEL: Pre-ICL In-Network Non-Preferred Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network non-preferred pharmacy (INNPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INNPP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007-2012. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INNPP\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

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LABEL: Pre-ICL In-Network Pharmacy Coinsurance for 1 Month Supply on Tier 1

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_1M\_COINS\_TIER\_01
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See also PRE\_ICL\_INP\_1M\_COINS\_TIER\_01 - PRE\_ICL\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COINS\_TIER\_08 and PRE\_ICL\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

LABEL: Pre-ICL In-Network Pharmacy Coinsurance for 1 month Supply on Tier 2

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_1M\_COINS\_TIER\_02
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See also PRE\_ICL\_INP\_1M\_COINS\_TIER\_01 - PRE\_ICL\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COINS\_TIER\_08 and PRE\_ICL\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

LABEL: Pre-ICL In-Network Pharmacy Coinsurance for 1 month Supply on Tier 3

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_1M\_COINS\_TIER\_03
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See also PRE\_ICL\_INP\_1M\_COINS\_TIER\_01 - PRE\_ICL\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COINS\_TIER\_08 and PRE\_ICL\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

LABEL: Pre-ICL In-Network Pharmacy Coinsurance for 1 month Supply on Tier 4

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_1M\_COINS\_TIER\_04
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See also PRE\_ICL\_INP\_1M\_COINS\_TIER\_01 - PRE\_ICL\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COINS\_TIER\_08 and PRE\_ICL\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

LABEL: Pre-ICL In-Network Pharmacy Coinsurance for 1 month Supply on Tier 5

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_1M\_COINS\_TIER\_05
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See also PRE\_ICL\_INP\_1M\_COINS\_TIER\_01 - PRE\_ICL\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COINS\_TIER\_08 and PRE\_ICL\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

LABEL: Pre-ICL In-Network Pharmacy Coinsurance for 1 month Supply on Tier 6

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_1M\_COINS\_TIER\_06
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See also PRE\_ICL\_INP\_1M\_COINS\_TIER\_01 - PRE\_ICL\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COINS\_TIER\_08 and PRE\_ICL\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

LABEL: Pre-ICL In-Network Pharmacy Coinsurance for 1 month Supply on Tier 7

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_1M\_COINS\_TIER\_07
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See also PRE\_ICL\_INP\_1M\_COINS\_TIER\_01 - PRE\_ICL\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COINS\_TIER\_08 and PRE\_ICL\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

LABEL: Pre-ICL In-Network Pharmacy Coinsurance for 1 month Supply on Tier 8

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_1M\_COINS\_TIER\_08
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See also PRE\_ICL\_INP\_1M\_COINS\_TIER\_01 - PRE\_ICL\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COINS\_TIER\_08 and PRE\_ICL\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

LABEL: Pre-ICL In-Network Pharmacy Coinsurance for 1 month Supply on Tier 9

**DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_1M\_COINS\_TIER\_09
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See also PRE\_ICL\_INP\_1M\_COINS\_TIER\_01 - PRE\_ICL\_INP\_1M\_COINS\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COINS\_TIER\_08 and PRE\_ICL\_INP\_1M\_COINS\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COINS\_TIER\_07 was not applicable after 2010).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01

- LABEL: Pre-ICL In-Network Pharmacy Copay for 1 Month Supply on Tier 1
- **DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_1M\_COINS\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01 - PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COPAY\_TIER\_08 and PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010). Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL In-Network Pharmacy Copay for 1 month Supply on Tier 2
- **DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INP\_1M\_COPAY\_TIER\_02
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_1M\_COINS\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01 - PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COPAY\_TIER\_08 and PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010). Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL In-Network Pharmacy Copay for 1 month Supply on Tier 3
- **DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INP\_1M\_COPAY\_TIER\_03
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_1M\_COINS\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01 - PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COPAY\_TIER\_08 and PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010). Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL In-Network Pharmacy Copay for 1 month Supply on Tier 4
- **DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INP\_1M\_COPAY\_TIER\_04
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_1M\_COINS\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01 - PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COPAY\_TIER\_08 and PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010). Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL In-Network Pharmacy Copay for 1 month Supply on Tier 5
- **DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INP\_1M\_COPAY\_TIER\_05
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_1M\_COINS\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01 - PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COPAY\_TIER\_08 and PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010). Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL In-Network Pharmacy Copay for 1 month Supply on Tier 6
- **DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INP\_1M\_COPAY\_TIER\_06
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_1M\_COINS\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01 - PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COPAY\_TIER\_08 and PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010). Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL In-Network Pharmacy Copay for 1 month Supply on Tier 7
- **DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INP\_1M\_COPAY\_TIER\_07
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_1M\_COINS\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01 - PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COPAY\_TIER\_08 and PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010). Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL In-Network Pharmacy Copay for 1 month Supply on Tier 8
- **DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INP\_1M\_COPAY\_TIER\_08
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_1M\_COINS\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01 - PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COPAY\_TIER\_08 and PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010). Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

- LABEL: Pre-ICL In-Network Pharmacy Copay for 1 month Supply on Tier 9
- **DESCRIPTION:** This variable identifies the dollar amount of the beneficiary copayment for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. It changed from 12 digits to 8 in 2009.

Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_1M\_COINS\_TIER\_01).

There are a different number of the variables each year to describe tiers, due to variation in the formulary tiers over time. There are different variables to describe all possible tiers in the pre-ICL benefit phase. See PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01 - PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09.

During 2008- 2010 there were only seven tiers (i.e., variables PRE\_ICL\_INP\_1M\_COPAY\_TIER\_08 and PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09 were not applicable). For 2011 and later, there were only six tiers (i.e., variable called PRE\_ICL\_INP\_1M\_COPAY\_TIER\_07 was not applicable after 2010). Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_INP\_COINS\_1M

- **LABEL:** Pre-ICL In-Network Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_COPAY\_1M). This variable also appears in the Plan Characteristics Base File (see PRE\_ICL\_INP\_1M\_COINS\_TIER\_01 PRE\_ICL\_INP\_1M\_COINS\_TIER\_09).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_INP\_COINS\_2M

- **LABEL:** Pre-ICL In-Network Pharmacy Coinsurance for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_INP\_COINS\_3M

- **LABEL:** Pre-ICL In-Network Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_INP\_COINS\_AVG\_1M

- **LABEL:** Pre-ICL In-Network Pharmacy Average Expected Coinsurance for 1 month Supply
- **DESCRIPTION:** This variable identifies the average expected cost-sharing amount in dollars for beneficiary co-insurance for a 1 month supply of the drugs on this tier, using an innetwork pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_COINS\_AVG\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. CMS instructed plans to calculate this amount by including the average expected cost-sharing amount in dollars that a beneficiary would be expected to pay at a network/standard retail pharmacy for a one month supply of drugs. This estimate should be based on available plan data (such as the most current 6 months of PDE data) for retail pharmacies only. Neither retail extended day supply nor mail order location data should be included in the estimate. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field.

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INP\_COINS\_OS

- LABEL: Pre-ICL In-Network Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007-2012. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INP\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_INP\_COPAY\_1M

- **LABEL:** Pre-ICL In-Network Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 1 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a coinsurance percentage amount rather than a co-payment will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_COINS\_1M). This variable also appears in the Plan Characteristics Base File (see PRE\_ICL\_INP\_1M\_COPAY\_TIER\_01 PRE\_ICL\_INP\_1M\_COPAY\_TIER\_09).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INP\_COPAY\_2M

- LABEL: Pre-ICL In-Network Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 2 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a coinsurance percentage amount rather than a co-payment will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_INP\_COPAY\_3M

- LABEL: Pre-ICL In-Network Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 3 month supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a coinsurance percentage amount rather than a co-payment will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INP\_COPAY\_DAILY

- LABEL: Pre-ICL In-Network Pharmacy Copay for one day supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a one day supply of the drugs on this tier, using an in-network pharmacy (INP; also known as a standard retail pharmacy) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The INP are currently referred to as *standard retail* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INP\_COPAY\_OS

- LABEL: Pre-ICL In-Network Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INP\_COPAY\_OS
- TYPE: CHAR
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007-2012. Plans that use a coinsurance percentage amount rather than a co-payment will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INP\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_INPP\_COINS\_1M

- LABEL: Pre-ICL In-Network Preferred Pharmacy Coinsurance for 1 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INPP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INPP\_COPAY\_1M). This variable also appears in the Plan Characteristics Base File (see PRE\_ICL\_INPP\_1M\_COINS\_TIER\_01 PRE\_ICL\_INPP\_1M\_COINS\_TIER\_08).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_INPP\_COINS\_2M

- LABEL: Pre-ICL Coinsurance In-Network Preferred Pharmacy Coinsurance for 2 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INPP\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INPP\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_INPP\_COINS\_3M

- **LABEL:** Pre-ICL Coinsurance In-Network Preferred Pharmacy Coinsurance for 3 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INPP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INPP\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_INPP\_COINS\_AVG\_1M

- LABEL: Pre-ICL In-Network Preferred Pharmacy Average Expected Coinsurance for 1 month Supply
- **DESCRIPTION:** This variable identifies the average expected cost-sharing amount in dollars for beneficiary co-insurance for a 1 month supply of the drugs on this tier, using an innetwork preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INPP\_COINS\_AVG\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** This variable was new in 2013. CMS instructed plans to calculate this amount by including the average expected cost-sharing amount in dollars that a beneficiary would be expected to pay at a network retail pharmacy for a one month supply of drugs. This estimate should be based on available plan data (such as the most current 6 months of PDE data) for retail pharmacies only. Neither retail extended day supply nor mail order location data should be included in the estimate. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field.

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INPP\_COINS\_OS

- LABEL: Pre-ICL Coinsurance In-Network Preferred Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) of the drugs on this tier, using an in-network preferred pharmacy (INPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INPP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated 2006-2012. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INPP\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INPP\_COPAY\_1M

- LABEL: Pre-ICL In-Network Preferred Pharmacy Copay for 1 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INPP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INPP\_COINS\_1M). This variable also appears in the Plan Characteristics Base File (see PRE\_ICL\_INPP\_1M\_COPAY\_TIER\_01 PRE\_ICL\_INPP\_1M\_COPAY\_TIER\_08).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PRE\_ICL\_INPP\_COPAY\_2M

- LABEL: Pre-ICL In-Network Preferred Pharmacy Copay for 2 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 2 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INPP\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a coinsurance percentage amount rather than a co-payment will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INPP\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PRE\_ICL\_INPP\_COPAY\_3M

- LABEL: Pre-ICL In-Network Preferred Pharmacy Copay for 3 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 3 month supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_INPP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** Plans that use a coinsurance percentage amount rather than a co-payment will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_INPP\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# PRE\_ICL\_INPP\_COPAY\_DAILY

- LABEL: Pre-ICL Copay In-Network Preferred Pharmacy Coinsurance for one day supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a one day supply of the drugs on this tier, using an in-network preferred pharmacy (INPP; also known as a preferred pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INPP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The INPP are currently referred to as preferred pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_INPP\_COPAY\_OS

- LABEL: Pre-ICL Copay In-Network Preferred Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using an in-network preferred pharmacy (INPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_INPP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated 2006-2012. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_INPP\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_LTCP\_COINS\_1M

- **LABEL:** Pre-ICL Long Term Care Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_LTCP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated 2008-2012 and 2014+. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_LTCP\_COPAY\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_LTCP\_COINS\_B\_1M

- **LABEL:** Pre-ICL Long Term Care Pharmacy Coinsurance for 1 month supply of brand name drugs
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of brand name drugs on this tier, using long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_LTCP\_COINS\_B\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. Plans that may offer a different coinsurance percentage for generic drugs on this tier; see the corresponding LTCP generic drug variable called (PRE\_ICL\_LTCP\_COINS\_G\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_LTCP\_COINS\_G\_1M

- **LABEL:** Pre-ICL Long Term Care Pharmacy Coinsurance for 1 month supply of generic drugs
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of generic drugs on this tier, using long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- **LONG NAME:** PRE\_ICL\_LTCP\_COINS\_G\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. Plans that may offer a different coinsurance percentage for brand name drugs on this tier; see the corresponding LTCP brand name variable called (PRE\_ICL\_LTCP\_COINS\_B\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_LTCP\_COPAY\_1M

LABEL: Pre-ICL Long Term Care Pharmacy Copay for 1 month supply

**DESCRIPTION:** This variable identifies the beneficiary copay amount for a 1 month supply of the drugs on this tier, using long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_LTCP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated 2008-2012 and 2014+.

Plans that use a coinsurance percentage amount rather than a co-payment will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_LTCP\_COINS\_1M).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_LTCP\_COPAY\_B\_1M

- **LABEL:** Pre-ICL Long Term Care Pharmacy Copay for 1 month supply of brand name drugs
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 1 month supply of brand name drugs on this tier, using long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- **LONG NAME:** PRE\_ICL\_LTCP\_COPAY\_B\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. Plans that may offer a different co-payment amount for generic drugs on this tier; see the corresponding LTCP generic drug variable called (PRE\_ICL\_LTCP\_COPAY\_G\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_LTCP\_COPAY\_B\_DAILY

- LABEL: Pre-ICL Long Term Care Pharmacy Copay for one day supply of brand name drugs
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a one day supply of brand name drugs on this tier, using long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_LTCP\_COPAY\_B\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. Plans that may offer a different co-payment amount for generic drugs on this tier; see the corresponding LTCP generic drug variable called (PRE\_ICL\_LTCP\_COPAY\_G\_DAILY). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_LTCP\_COPAY\_DAILY

- LABEL: Pre-ICL Long Term Care Pharmacy Copay for one day supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a one day supply of the drugs on this tier, using long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_LTCP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2014. The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_LTCP\_COPAY\_G\_1M

- LABEL: Pre-ICL Long Term Care Pharmacy Copay for 1 month supply of generic drugs
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 1 month supply of generic drugs on this tier, using long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

### SHORT NAME: -

- LONG NAME: PRE\_ICL\_LTCP\_COPAY\_G\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. Plans that may offer a different co-payment amount for brand name drugs on this tier; see the corresponding LTCP brand name drug variable called (PRE\_ICL\_LTCP\_COPAY\_B\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_LTCP\_COPAY\_G\_DAILY

- LABEL: Pre-ICL Long Term Care Pharmacy Copay for one day supply of generic drugs
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a one day supply of generic drugs on this tier, using long term care pharmacy (LTCP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_LTCP\_COPAY\_G\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was populated only in 2013. Plans that may offer a different co-payment amount for brand name drugs on this tier; see the corresponding LTCP brand name drug variable called (PRE\_ICL\_LTCP\_COPAY\_B\_DAILY). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of Allinclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MO\_COINS\_1M

- LABEL: Pre-ICL Mail Order Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using a standard mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- **LONG NAME:** PRE\_ICL\_MO\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MO\_COPAY\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MO\_COINS\_2M

- LABEL: Pre-ICL Mail Order Pharmacy Coinsurance for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using a standard mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MO\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MO\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MO\_COINS\_3M

- **LABEL:** Pre-ICL Mail Order Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using a standard mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MO\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MO\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_MO\_COINS\_OS

- **LABEL:** Pre-ICL Mail Order Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MO\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated from 2007-2012. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MO\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MO\_COPAY\_1M

- **LABEL:** Pre-ICL Mail Order Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 1 month supply of the drugs on this tier, using a standard mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MO\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MO\_COINS\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_MO\_COPAY\_2M

- LABEL: Pre-ICL Mail Order Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 2 month supply of the drugs on this tier, using a standard mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MO\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MO\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MO\_COPAY\_3M

- LABEL: Pre-ICL Mail Order Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 3 month supply of the drugs on this tier, using a standard mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MO\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MO\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_MO\_COPAY\_DAILY

- LABEL: Pre-ICL Mail Order Pharmacy Copay for one day supply
- **DESCRIPTION**: This variable identifies the beneficiary copay amount for a one day supply of the drugs on this tier, using a standard mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MO\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_MO\_COPAY\_OS

- LABEL: Pre-ICL Mail Order Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using a standard mail order pharmacy during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MO\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)
- VALUES:
- **COMMENT:** Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MO\_COINS\_OS).

Starting in 2016, CMS changed how they describe pharmacy networks. The mail order pharmacies are currently referred to as *standard mail order* pharmacies. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MONPP\_COINS\_1M

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MONPP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MONPP\_COPAY\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MONPP\_COINS\_2M

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy Coinsurance for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MONPP\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MONPP\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MONPP\_COINS\_3M

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MONPP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MONPP\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_MONPP\_COINS\_OS

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a days supply of the drugs (other than 1 or 3 months) on this tier, using mail order non-preferred pharmacy (MONPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MONPP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated 2007 2012. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MONPP\_COPAY\_OS).The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_MONPP\_COPAY\_1M

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 1 month supply of the drugs on this tier, using mail order non-preferred pharmacy (MONPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MONPP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MONPP\_COINS\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_MONPP\_COPAY\_2M

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 2 month supply of the drugs on this tier, using mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MONPP\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MONPP\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MONPP\_COPAY\_3M

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 3 month supply of the drugs on this tier, using mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MONPP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MONPP\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MONPP\_COPAY\_DAILY

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy Copay for one day supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a one day supply of the drugs on this tier, using mail order non-preferred pharmacy (MONPP; also known as a standard mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_MONPP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as standard mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_MONPP\_COPAY\_OS

- LABEL: Pre-ICL Mail Order Non-Preferred Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using mail order non-preferred pharmacy (MONPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MONPP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was only populated 2007 2012. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MONPP\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MOPP\_COINS\_1M

- LABEL: Pre-ICL Mail Order Preferred Pharmacy Coinsurance for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using mail order preferred pharmacy (MOPP; also known as a preferred mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MOPP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MOPP\_COPAY\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as preferred mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MOPP\_COINS\_2M

- LABEL: Pre-ICL Mail Order Preferred Pharmacy Coinsurance for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 2 month supply of the drugs on this tier, using mail order preferred pharmacy (MOPP; also known as a preferred mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MOPP\_COINS\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files

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- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MOPP\_COPAY\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as preferred mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MOPP\_COINS\_3M

- LABEL: Pre-ICL Mail Order Preferred Pharmacy Coinsurance for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using mail order preferred pharmacy (MOPP; also known as a preferred mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MOPP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files

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- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MOPP\_COPAY\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as preferred mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_MOPP\_COINS\_OS

- LABEL: Pre-ICL Mail Order Preferred Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage a days supply of the drugs (other than 1 or 3 months) on this tier, using mail order preferred pharmacy (MOPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MOPP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

VALUES:

**COMMENT:** This variable was only populated 2007 - 2012. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_MOPP\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_MOPP\_COPAY\_1M

**LABEL:** Pre-ICL Mail Order Preferred Pharmacy Copay for 1 month supply

**DESCRIPTION:** This variable identifies the beneficiary copay amount for a 1 month supply of the drugs on this tier, using mail order preferred pharmacy (MOPP; also known as a preferred mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MOPP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MOPP\_COINS\_1M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as preferred mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MOPP\_COPAY\_2M

- **LABEL:** Pre-ICL Mail Order Preferred Pharmacy Copay for 2 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 2 month supply of the drugs on this tier, using mail order preferred pharmacy (MOPP; also known as a preferred mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_MOPP\_COPAY\_2M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2013. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MOPP\_COINS\_2M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as preferred mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MOPP\_COPAY\_3M

- **LABEL:** Pre-ICL Mail Order Preferred Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a 3 month supply of the drugs on this tier, using mail order preferred pharmacy (MOPP; also known as a preferred mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_MOPP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

\_

- VALUES:
- **COMMENT:** This variable was new in 2007. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MOPP\_COINS\_3M).

Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as preferred mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_MOPP\_COPAY\_DAILY

- LABEL: Pre-ICL Mail Order Preferred Pharmacy Copay for one day supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a one day supply of the drugs on this tier, using mail order preferred pharmacy (MOPP; also known as a preferred mail order pharmacy within a *split network*) during the pre-initial coverage limit (ICL) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: PRE\_ICL\_MOPP\_COPAY\_DAILY
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)

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- VALUES:
- **COMMENT:** This variable was new in 2013. Starting in 2016, CMS changed how they describe pharmacy networks. The MOPP are currently referred to as preferred mail order pharmacies within *split networks*. There is no change in the meaning of the variable, and the data values are the same over time.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_MOPP\_COPAY\_OS

- LABEL: Pre-ICL Mail Order Preferred Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary copay amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using mail order preferred pharmacy (MOPP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_MOPP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated 2007 2012. Plans that use a coinsurance percentage amount rather than a co-payment amount will not have a value in this field; see instead the corresponding coinsurance variable called (PRE\_ICL\_MOPP\_COINS\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_OONP\_COINS\_1M

- **LABEL:** Pre-ICL Out-of-Network Pharmacy Coinsurance for 1 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 1 month supply of the drugs on this tier, using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_OONP\_COINS\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_OONP\_COPAY\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_OONP\_COINS\_3M

- LABEL: Pre-ICL Out-of-Network Pharmacy Coinsurance for 3 month Supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a 3 month supply of the drugs on this tier, using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_OONP\_COINS\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated in 2006-2007. Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_OONP\_COPAY\_3M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_OONP\_COINS\_OS

- LABEL: Pre-ICL Out-of-Network Pharmacy Coinsurance for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-insurance percentage for a for a days supply of the drugs (other than 1 or 3 months) on this tier, using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_OONP\_COINS\_OS
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** Plans that use a co-payment amount rather than a coinsurance percentage will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_OONP\_COPAY\_OS). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_OONP\_COPAY\_1M

- LABEL: Pre-ICL Out-of-Network Pharmacy Copay for 1 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 1 month supply of the drugs on this tier, using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit. 1 month supply.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_OONP\_COPAY\_1M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_OONP\_COINS\_1M). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

## PRE\_ICL\_OONP\_COPAY\_3M

- LABEL: Pre-ICL Out-of-Network Pharmacy Copay for 3 month supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a 3 month supply of the drugs on this tier, using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_OONP\_COPAY\_3M
- TYPE: NUM
- LENGTH: 8
- **SOURCE:** CMS (HPMS Files)

-

- VALUES:
- **COMMENT:** This variable was only populated in 2006-2007. Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_OONP\_COINS\_3M).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# PRE\_ICL\_OONP\_COPAY\_OS

- LABEL: Pre-ICL Out-of-Network Pharmacy Copay for other days supply
- **DESCRIPTION:** This variable identifies the beneficiary co-payment amount for a days supply of the drugs (other than 1 or 3 months) on this tier, using an out-of-network pharmacy (OONP) during the pre-initial coverage limit (ICL) phase of the Part D benefit. Other supply.

#### SHORT NAME: -

- LONG NAME: PRE\_ICL\_OONP\_COPAY\_OS
- TYPE: NUM
- LENGTH: 8
- SOURCE: CMS (HPMS Files)
- VALUES:
- **COMMENT:** Plans that use a coinsurance percentage rather than a co-payment amount will not have a value in this field; see instead the corresponding co-payment variable called (PRE\_ICL\_OONP\_COINS\_OS).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### PRE\_ICL\_TIER\_DRUG\_TYPE

- LABEL: Pre-ICL Drug Type by Tier
- **DESCRIPTION:** This variable identifies what types of drugs, if any, are covered on each formulary tier during the pre-initial coverage limit (ICL) phase. A tier may include multiple drug types.
- SHORT NAME: -
- **LONG NAME:** PRE\_ICL\_TIER\_DRUG\_TYPE
- TYPE: CHAR
- LENGTH: 6
- **SOURCE:** CMS (HPMS Files)

VALUES: Binary character string where each digit is a 1 or 0 to accommodate a variety of types of drugs. For example, if the value = 000011 (1s in the 1st and 2nd digits), then generic and non-preferred brand drugs are covered on this tier during the pre-ICL phase. 1 in 1st digit = Non-Preferred Brand 1 in 2nd digit = Generic 1 in 3rd digit = Preferred Generic 1 in 4th digit = Non-Preferred Generic 1 in 5th digit = Brand 1 in 6th digit = Preferred Brand All 9's = Not Applicable In 2006, the variable was a 3 character string with values as follows: 001 = Brand 010 = Generic 011 = Generic and Brand 100 = Preferred Brand 101 = Brand and Preferred Brand 110 = Generic and Preferred Brand 111 = Generic, Brand, and Preferred Brand 999 = Not Applicable

COMMENT: There are a different number of records for each year to describe tiers, due to variation in the number of formulary tiers over time. This variable also appears in the Plan Characteristics - Base File (see PRE\_ICL\_DRUG\_TYPE\_TIER\_01 PRE\_ICL\_DRUG\_TYPE\_TIER\_09). The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS). Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information. For those plans that did not report, the value of this variable will be blank.

### **REDUCTED\_COST\_SHARE**

- LABEL: Plan Offers Reduced Cost-Sharing
- **DESCRIPTION:** This variable indicates whether the plan offers reduced Part D cost-sharing as part of its supplemental Part D benefit.
- SHORT NAME: -
- LONG NAME: REDUCED\_COST\_SHARE
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not applicable X = Unknown
- **COMMENT:** This variable was new in 2007. It applies to Enhanced Alternative Plans ONLY.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

### REDUCED\_DED

LABEL: Plan Offers Reduced Deductible Amount

**DESCRIPTION:** This variable indicates whether the plan offers reduced cost sharing in the deductible phase of the Part D benefit.

SHORT NAME: -

- LONG NAME: REDUCED DED
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2007. It applies ONLY to the Enhanced Alternative Plans that offer reduced cost-sharing (i.e., where variable called REDUCED\_COST\_SHARE='Y').

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# REDUCED\_GAP\_CS

- LABEL: Plan Offers Reduced Cost-Sharing in the Coverage Gap
- **DESCRIPTION:** This variable indicates whether the plan offers reduced Part D cost-sharing during the coverage gap (technically referred to as the Initial Coverage Limit [ICL] phase of the Part D benefit), as part of its supplemental Part D benefit.
- SHORT NAME: -
- LONG NAME: REDUCED\_GAP\_CS
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not applicable X = Unknown
- **COMMENT:** This variable is only available 2007 2011. It applies ONLY to the Enhanced Alternative Plans that offer reduced cost-sharing (i.e., where variable called REDUCED\_COST\_SHARE='Y').

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# REDUCED\_OOPT\_CS

- LABEL: Plan Offers Reduced Post Out-of-Pocket (OOP) Threshold Cost-Sharing Amounts
- **DESCRIPTION:** This variable indicates whether the plan offers reduced cost sharing in the post out-of-pocket threshold (catastrophic) phase of the Part D benefit.
- SHORT NAME: -
- LONG NAME: REDUCED\_OOPT\_CS
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2007. It applies ONLY to the Enhanced Alternative Plans that offer reduced cost-sharing (i.e., where variable called REDUCED\_COST\_SHARE='Y').

The Initial Coverage Limit (ICL) phase of the Part D benefit is also referred to as the coverage gap.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# REDUCED\_PREICL\_CS

LABEL: Plan Offers Reduced Pre-ICL Cost-sharing Amount

**DESCRIPTION:** This variable indicates whether the plan offers reduced cost sharing applies to the pre-Initial Coverage Limit (ICL) phase of the Part D benefit.

- SHORT NAME: -
- LONG NAME: REDUCED\_PREICL\_CS
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not Applicable X = Unknown
- **COMMENT:** This variable was new in 2007. It applies ONLY to the Enhanced Alternative Plans that offer reduced cost-sharing (i.e., where variable called REDUCED\_COST\_SHARE='Y').

The Initial Coverage Limit (ICL) phase of the Part D benefit is also referred to as the coverage gap.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

## **REGION\_CD**

- LABEL: Part D Segment Region Code
- **DESCRIPTION:** This variable is the stand alone prescription drug plan (PDP) or Medicare Advantage Prescription Drug Plan (MA-PD) region code in which the plan benefit package provides coverage. Applies only to stand-alone PDPs and regional Medicare Advantage Prescription Drug plans.
- SHORT NAME: -
- LONG NAME: REGION\_CODE
- TYPE: CHAR
- LENGTH: 2
- SOURCE: CMS (HPMS Files)

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- VALUES:
- **COMMENT:** The name associated with this region code can be found in the variable called (REGION\_NAME).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

### **REGION\_NAME**

- LABEL: Part D Segment Region Name
- **DESCRIPTION:** This variable is the stand alone prescription drug plan (PDP) or Medicare Advantage Prescription Drug Plan (MA-PD) region name in which the plan benefit package provides coverage. Applies only to stand-alone PDPs and regional Medicare.

#### SHORT NAME: -

- LONG NAME: REGION\_NAME
- TYPE: CHAR
- **LENGTH:** 120
- **SOURCE:** CMS (HPMS Files)

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- VALUES:
- **COMMENT:** The code number associated with this region name can be found in the variable called (REGION\_CODE).

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# **REINSURANCE\_DEMO\_TYPE**

- **LABEL:** Part D Reinsurance Demonstration Type
- **DESCRIPTION:** This variable describes the type of Part D payment reinsurance demonstration, for applicable plan benefit packages.
- SHORT NAME: -
- **LONG NAME:** REINSURANCE\_DEMO\_TYPE
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: 0 = Non-Payment Demonstration 1 = Flexible capitated option
  - 2 = Fixed capitated option
  - 3 = Flexible MA rebate option
- **COMMENT:** This variable is only available 2006 2010.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# **RELATIONSHIP\_CODE**

- LABEL: Relationship Code
- **DESCRIPTION:** This variable is the code that identifies whether the Part D Contract and Plan ID that was active in in the reference year was new, or related to a Contract and Plan ID in the previous year.
- SHORT NAME: -
- LONG NAME: RELATIONSHIP\_CODE
- TYPE: CHAR
- **LENGTH:** 1
- SOURCE: CMS (HPMS Files)
- VALUES: N = New
  - R = Renewal C = Consolidation
  - S = Split
  - T = Termination
- **COMMENT:** The variable describes the relationship between the Contract and Plan ID in the reference year compared to the prior year. The description for these codes is in the relationship description variable (RELATIONSHIP\_DESC).

The Contract and Plan ID for the reference year (year of the data file) could be related to more than one Contract and Plan ID in the previous year (e.g., if reference year relationship was a consolidation); similarly, more than one Contract and Plan ID in the reference year may be associated with a single Contract and Plan ID in the previous year (e.g., if reference year relationship was a split).

### **RELATIONSHIP\_DESC**

- LABEL: Relationship Description
- **DESCRIPTION:** This variable is the description of the relationship between the Part D Contract and Plan ID that was active in in the reference year and any associated Contract and Plan ID(s) in the previous year.

#### SHORT NAME: -

- LONG NAME: RELATIONSHIP DESC
- TYPE: CHAR
- **LENGTH:** 15
- **SOURCE:** CMS (HPMS Files)
- **VALUES**: New = no link to a plan in the prior year
  - Renewal = same plan & contract in reference and prior years (portion of service area may be different)
  - Consolidation = 2 or more plans in the prior year consolidated into a single plan
  - Split = 2 or more plans in the current year associated with a single plan & contract ID in prior year
  - Termination = plan appeared in prior year, not active in reference year
- **COMMENT:** The relationship between the Contract and Plan ID in the reference year compared to the prior year. This variable is the narrative description for the single letter code value that appears in the variable (RELATIONSHIP\_CODE).

The Contract and Plan ID for the reference year could be related to more than one Contract and Plan ID in the previous year (e.g., if reference year relationship was a consolidation); similarly, more than one Contract and Plan ID in the reference year may be associated with a single Contract and Plan ID in the previous year (e.g., if reference year was a split).

### SEGMENT\_ID

- LABEL: Part D Market Segment Identifier
- **DESCRIPTION:** This variable is the identifier for the geographic market segment covered by the Part D plan.
- SHORT NAME: -
- LONG NAME: SEGMENT\_ID
- TYPE: CHAR
- LENGTH: 3
- SOURCE: CMS (HPMS Files)
- VALUES: 3-digit number
- **COMMENT:** Prior to 2015, this variable was always encrypted to comply with CMS privacy rules.

You need to know both the Part D contract number and plan benefit package identification number (variables called CONTRACT\_ID and PLAN\_ID) in order to identify the specific plan benefit package offered to beneficiaries in the particular market segments.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# SNP\_TYPE

- LABEL: Part D Special Needs Plan (SNP) Type
- **DESCRIPTION:** This variable describes the type of special needs Part D plan (SNP) for applicable plan benefit packages.
- SHORT NAME: -
- **LONG NAME:** SNP\_TYPE
- TYPE: CHAR
- LENGTH: 1
- SOURCE: CMS (HPMS Files)
- VALUES: 0 = Non-SNP C = Chronic or Disabling Condition D = Dual-Eligible I = Institutional
- **COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# SPECIALTY\_ACCESS

LABEL: Plan Requires Specialty Pharmacies for Some Drugs

**DESCRIPTION:** This variable indicates whether the Part D plan restricts access for some drugs to certain specialty pharmacies.

- SHORT NAME: -
- LONG NAME: SPECIALTY\_ACCESS
- TYPE: CHAR
- LENGTH: 1
- **SOURCE:** CMS (HPMS Files)
- VALUES: Y = Yes N = No 9 = Not applicable X = Unknown
- **COMMENT:** This variable is only available 2008 2010.

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Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

## SPECIALTY\_TIER

- LABEL: Specialty Tier Number
- **DESCRIPTION:** This variable indicates which, if any, of the formulary tiers is designated as the specialty tier. The specialty tier contains high cost products which must meet a certain monthly dollar threshold as set by CMS, and products on this tier are typically limited to a percentage coinsurance of 25%, and cannot exceed 33%.
- SHORT NAME: -
- LONG NAME: SPECIALTY\_TIER
- TYPE: CHAR
- LENGTH: 2
- SOURCE: CMS (HPMS Files)
- **VALUES:** 01 06
- **COMMENT:** This variable was new in 2007; it changed from 1 character to 2 in 2009.

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Employer and National Program of All-inclusive Care for the Elderly (PACE) plans are waived from reporting Plan Benefit Package information.

For those plans that did not report, the value of this variable will be blank.

# SSA\_CODE

- LABEL: Part D Segment SSA State and County Code
- **DESCRIPTION:** This variable is the standard 5-digit Social Security Administration (SSA) state and county code in which the Part D plan benefit package (segment) provides coverage.

SHORT NAME: -

- LONG NAME: SSA\_CODE
- TYPE: CHAR
- LENGTH: 5
- SOURCE: CMS (HPMS Files)

-

VALUES:

**COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

A listing of the SSA state and county codes can be found on the US Census website; also CMS has core-based statistical area (CBSA) crosswalk files available on their website, which include state and county SSA codes.

### STATE\_NAME

LABEL: Part D Segment State Name

**DESCRIPTION:** Name of state in which the Part D plan benefit package (segment) provides coverage.

SHORT NAME: -

LONG NAME: STATE\_NAME

TYPE: CHAR

LENGTH: 24

**SOURCE:** CMS (HPMS Files)

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VALUES:

**COMMENT:** The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).

# TIER\_ID

### LABEL: Tier Number

**DESCRIPTION**: Medicare Part D formulary tier identifier. This field represents the cost sharing tier in which the product was placed in the sponsor's formulary.

This identifier is also a key that links a Part D sponsor's cost sharing tier record to a prescription drug event record via contract ID, plan ID, and tier ID.

#### SHORT NAME: -

- LONG NAME: TIER\_ID
- TYPE: CHAR
- LENGTH: 2
- SOURCE: CMS (HPMS Files)
- VALUES: 01 09
- **COMMENT:** The maximum number of tiers varied by year. The largest number of tiers was 9 (in 2007). Starting in 2011, only up to six tiers are possible.

The CCW constructs the Plan Characteristics File from information submitted by Part D plan sponsors to CMS's Health Plan Management System (HPMS).